

statistical bulletin

september 12, 1973

vol. 32 no. 31

ROUND-LOT SHARE VOLUME ON NYSE	896
SHARE VOLUME FOR ODD-LOT ACCOUNT OF ODD-LOT DEALER ON THE NYSE	897
ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	898
ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	900
FORM 144 FILINGS	901
FORM 8-K FILINGS	907
SCHEDULE 13D REPORTS	909
SCHEDULE 14D REPORTS	912
MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	913
NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	914
NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	915
ANNUAL SURVEY OF PRIVATE NONINSURED PENSION FUNDS	918
CUMULATIVE INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN	928

united states securities and exchange commission
washington, d. c. 20549

ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE
(SHARES AND WARRANTS) 1/

Week Ended August 17, 1973

Trade Date	Total Reported Volume	Transactions of Members for Own Account, Except for Odd-Lot Dealer 2/			51 NYSERL1
		As Specialists	As Floor Traders	Others	
Mon.	81 Purchases	11,529,850	1,648,500	55,200	1,034,715
	82 Sales-Total	11,529,850	1,296,050	40,500	849,800
	83 -Short	565,630	293,980	14,100	128,250
Tues.	84 Purchases	11,894,570	1,582,950	104,400	1,033,131
	85 Sales-Total	11,894,570	1,566,820	84,500	976,450
	86 -Short	591,170	310,460	10,100	146,590
Wed.	87 Purchases	12,135,400	1,557,450	89,900	1,021,537
	88 Sales-Total	12,135,400	1,599,420	64,400	1,257,749
	89 -Short	693,410	333,410	10,800	185,200
Thurs.	90 Purchases	13,075,960	1,566,980	61,200	1,262,852
	91 Sales-Total	13,075,960	1,944,630	114,600	970,330
	92 -Short	780,700	461,380	17,100	178,500
Fri.	93 Purchases	11,188,690	1,374,190	92,810	887,096
	94 Sales-Total	11,188,690	1,518,360	37,110	812,532
	95 -Short	691,830	408,830	10,800	135,800
WEEK	96 Purchases	59,824,470	7,730,070	403,510	5,239,331
	97 Sales-Total	59,824,470	7,925,280	341,110	4,866,861
	98 -Short	3,322,740	1,808,060	62,900	774,340

1/ Warrants have been included with share volume since January 3, 1972.

2/ The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

**SHARE VOLUME FOR ODD-LOT ACCOUNTS
OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE**

Week Ended August 24, 1973

Trade Date	Customers' Odd-Lot Transactions ^{1/}			Dealer Round-Lot Transactions		
	6	9 Shares	17 Value	27	55 Shares	51 Value
Mon.	⁸¹ Purchases	208,035	7,879,973	52,310	1,481,550	
	⁸² Sales-Total	308,803	13,410,992	180,170	8,035,448	
	⁸³ -Short	2,098	133,035	0	0	
Tues.	⁸⁴ Purchases	204,781	7,807,802	58,110	1,586,913	
	⁸⁵ Sales-Total	327,119	14,675,130	186,850	8,321,925	
	⁸⁶ -Short	4,364	240,897	0	0	
Wed.	⁸⁷ Purchases	199,435	8,264,608	72,200	2,330,438	
	⁸⁸ Sales-Total	313,570	14,171,923	163,680	7,748,943	
	⁸⁹ -Short	3,828	280,931	0	0	
Thurs.	⁹⁰ Purchases	212,246	8,661,059	89,520	3,046,390	
	⁹¹ Sales-Total	274,082	11,706,461	137,600	5,594,686	
	⁹² -Short	3,429	231,217	0	0	
Fri.	⁹³ Purchases	196,379	7,618,997	58,300	1,623,138	
	⁹⁴ Sales-Total	276,361	11,682,365	155,870	6,551,078	
	⁹⁵ -Short	4,856	368,069	0	0	
WEEK ¹⁷	⁹⁶ Purchases	1,020,876	40,231,719	330,440	10,068,429	
	Sales-Total	1,499,935	65,646,871	824,170	36,252,080	
	⁹⁸ -Short	18,575	1,254,149	0	0	

^{1/} In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

NOTE: All sales totals include short sales

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED			WEEK ENDED			WEEK ENDED			WEEK ENDED		
	AUGUST 3, 1973	PURCHASES	SALES	AUGUST 10, 1973	PURCHASES	SALES	AUGUST 17, 1973	PURCHASES	SALES	AUGUST 24, 1973	PURCHASES	SALES
ADMIRAL CORPORATION	459	605	144	1,086	4,194	818	958	3,972	983	3,059	236	403
ALLIED CHEMICAL CORPORATION	1,202	6,642	773	407	1,188	1,052	3,976	2,071	2,071	2,057	1,673	1,673
ALLIS CHALMERS CORP.	537	669	579	3,324	498	1,673	6,439	2,219	6,114	1,802	6,485	6,485
ALUMINUM CO. OF AMERICA	732	4,736	5,919	2,167	6,439	2,219	2,685	2,624	3,005	2,048	2,685	2,685
AMERICAN AIRLINES, INC.	7,002	2,420	2,513	2,410	2,819	2,919	3,004	3,299	2,818	2,886	3,438	3,438
AMERICAN BRANDS, INC.	1,971	2,072	1,941	2,879	2,768	4,389	2,729	2,719	2,561	1,941	37,932	37,932
AMERICAN CAN COMPANY	1,356	2,762	2,003	1,855	2,288	1,811	1,719	1,719	1,719	1,719	1,028	1,028
AMERICAN CYANAMID CO.	1,054	3,032	2,055	4,849	2,137	41,163	1,498	1,498	1,498	1,498	1,078	1,078
AMERICAN MOTORS CORP.	2,945	2,003	43,895	1,062	1,109	693	1,448	1,448	1,448	1,448	362	362
AMERICAN TEL. & TEL. CO.	20,307	43,895	2,055	1,062	780	1,012	955	658	702	702	1,776	1,776
AMF INCORPORATED	1,262	936	701	555	2,679	325	2,674	2,674	2,674	2,674	454	454
ANAPLEX CORPORATION	906	701	4,196	523	345	409	415	739	420	420	470	1,156
ANACONDA COMPANY	339	523	345	394	1,057	1,086	325	968	553	808	398	398
APCO CORPORATION	394	1,046	1,057	520	325	351	1,575	1,351	1,804	2,691	2,939	2,939
AVCO CORPORATION	520	300	325	3,629	1,872	1,291	1,254	2,354	1,081	2,580	2,467	2,467
AVNET, INC.*	1,611	1,611	1,611	1,288	1,872	1,291	1,254	2,354	1,081	2,646	849	849
BETHLEHEM STEEL CORPORATION	1,288	1,288	1,288	1,288	1,872	1,291	1,254	2,354	1,081	2,646	1,507	1,507
BOEING COMPANY	1,288	1,288	1,288	1,288	1,872	1,291	1,254	2,354	1,081	2,646	1,374	1,374
BRUNSWICK CORPORATION	3,509	3,479	3,479	2,974	4,676	3,465	3,465	3,465	2,445	3,955	1,917	1,917
BURRUEGH'S CORPORATION	4,790	4,790	4,790	4,790	4,790	4,790	7,366	4,956	6,960	4,009	5,866	5,866
CHRYSLER CORPORATION	5,577	5,577	5,577	5,577	5,577	5,577	1,658	2,765	1,598	3,217	1,061	1,061
COMMONWEALTH GAS SYSTEM, INC.	1,528	2,359	1,528	1,528	2,359	1,528	1,528	1,528	1,028	1,028	649	649
COMMONWEALTH OIL REFINING CO.	540	1,448	1,448	540	1,448	1,448	724	1,110	383	1,825	604	604
CONSOLIDATED SATELLITE CORP.	518	2,519	518	518	2,519	518	1,970	1,970	1,970	1,970	1,912	1,912
CONSOLIDATED EDISON CO. OF N.	4,047	4,797	4,047	4,047	4,797	8,25%	5,953	6,668	6,668	6,668	3,699	4,652
CONTINENTAL AIRLINES, INC.	1,315	694	1,315	1,315	694	2,291	678	2,090	1,717	1,089	1,005	1,005
CONTROL DATA CORPORATION	2,180	2,027	2,180	2,180	2,027	1,979	1,979	1,979	3,760	2,436	2,343	2,343
DART INDUSTRIES, INC.	501	1,449	501	501	1,449	149	1,759	3,660	1,668	3,888	2,501	2,501
DNW CHEMICAL COMPANY	2,569	5,127	2,569	2,569	5,127	1,218	3,780	1,229	5,588	1,646	6,746	6,746
DU PONT DE NEMOURS (E.I. & C.	2,114	5,261	2,114	2,114	5,261	2,530	4,181	2,499	2,499	2,373	2,788	4,837
EASTERN AIR LINES, INC.	3,944	1,449	3,944	3,944	1,449	5,999	1,719	4,364	2,060	2,060	5,274	2,054
EASTMAN KODAK COMPANY	10,071	11,608	10,071	10,071	11,608	10,152	10,210	10,062	12,571	9,309	11,337	11,337
ESMARK INC.	577	1,245	577	577	1,245	628	669	732	1,019	724	988	988
EXXON CORPORATION	6,320	17,619	6,320	6,320	17,619	6,075	16,997	5,912	19,390	5,916	24,887	24,887
FAIRCHILD CAMERA & INSTRUMENT	6,192	6,567	6,192	6,192	6,567	5,977	4,181	2,489	4,263	2,657	3,366	3,366
Fairchild Industries, Inc.	3,30	353	3,30	3,30	353	334	262	235	76	113	455	455
FORD MOTOR COMPANY	7,102	6,293	7,102	7,102	6,293	8,950	5,049	6,583	6,454	7,263	6,161	6,161
GAF CORPORATION	1,569	900	1,569	1,569	900	1,047	874	796	1,185	1,670	1,019	1,019
GENERAL DYNAMICS CORP.	1,68	2,356	1,68	1,68	2,356	2,026	2,046	1,046	1,503	66	1,337	1,337
GENERAL ELECTRIC COMPANY	6,434	14,445	6,434	6,434	14,445	7,657	11,912	5,321	13,039	4,412	11,922	11,922
GENERAL FOODS CORPORATION	1,415	2,867	1,415	1,415	2,867	1,667	3,030	2,511	3,792	2,012	2,974	2,974
GENERAL MOTORS CORPORATION	21,169	22,356	21,169	21,169	22,356	23,346	28,944	37,961	23,594	41,637	25,199	25,199
GEN'L TEL. & ELECTRONICS CORP.	2,280	11,055	2,280	2,280	11,055	2,686	10,543	2,027	11,780	2,977	8,605	8,605
GOODYEAR TIRE & RUBBER CO.	1,072	2,736	1,072	1,072	2,736	1,158	3,161	1,584	2,973	3,868	3,398	3,398
GREAT WESTERN FINANCIAL CORP.	1,150	8,735	1,150	1,150	8,735	10,793	10,597	675	251	462	381	381
GULF OIL CORPORATION	1,053	1,285	1,053	1,053	1,285	639	1,497	1,497	1,497	1,497	17,996	9,161
GULF & WESTERN INDUSTRIES	4,03	2,354	4,03	4,03	2,354	19	364	75	660	503	1,659	1,659
HIGH VOLTAGE ENGINEERING CO.	1,528	2,354	1,528	1,528	2,354	2,423	2,249	1,731	1,902	1,902	2,049	2,049
HONEYWELL, INC.	19,549	31,123	19,549	19,549	31,123	25,883	30,374	24,799	32,643	20,340	28,732	28,732

HIGH VOLTAGE ENGINEERING CO.

HONEYWELL, INC.

INTEL BUSINESS MACHINES CORP.

INTEL

1,528

2,356

31,123

19,549

2,423

25,883

30,374

1,731

32,643

24,799

2,068

1,902

28,732

20,340

20,732

CROSS-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED		WEEK ENDED		WEEK ENDED		WEEK ENDED		
	AUGUST 3, 1973	PURCHASES	SALES	AUGUST 10, 1973	PURCHASES	SALES	AUGUST 17, 1973	PURCHASES	SALES
INTERNATIONAL HARVESTER CO.	2,198	3,262	3,383	3,965	6,464	5,569	6,015	6,196	6,196
INT'L NICKEL CO. OF CANADA	197	1,611	2,351	2,267	220	2,391	1,126	1,358	1,358
INTERNATIONAL PAPER COMPANY	908	2,970	1,288	3,509	672	4,961	660	4,769	4,769
ITEK CORPORATION	721	1,740	2,777	2,727	1,178	1,776	1,259	1,862	1,862
JOHNS-MANVILLE CORP.	460	802	1,457	1,355	2,295	1,711	1,256	1,350	1,350
LTV CORPORATION	541	864	465	998	318	681	354	1,058	1,058
LEAR SIEGLER, INC.	580	1,048	740	1,167	492	721	511	589	589
LITTON INDUSTRIES, INC.	968	4,514	1,000	5,483	949	5,810	1,152	4,408	4,408
MAGNAVAC COMPANY	3,602	2,529	6,920	3,691	2,289	2,673	1,660	1,601	1,601
MARCOR, INC.	690	1,737	1,405	1,618	696	1,996	758	2,261	2,261
MARTIN-MARIETTA CORP.	401	873	484	1,415	853	1,540	279	1,066	1,066
MCDONNELL DOUGLAS CORP.	727	3,506	903	3,301	980	2,819	760	4,177	4,177
MINNESOTA MINING & MFG. CO.	4,137	5,197	3,473	6,102	3,359	5,050	2,655	6,082	6,082
MONSANTO COMPANY	6,114	11,255	3,386	5,177	4,152	5,253	3,199	6,268	6,268
MONTRAL, INC.	1,651	802	1,684	568	1,123	578	1,562	759	759
Occidental Petroleum CO.	2,301	3,739	4,310	4,585	2,794	5,801	2,679	4,083	4,083
OHENS-ILLINOIS, INC.	1,300	1,661	1,068	1,277	1,176	965	672	1,355	1,355
PAN AMERICAN WORLD AIRWAYS	3,816	3,029	4,767	2,863	2,791	2,750	4,996	1,945	1,945
PASCU INCORPORATED	8	484	0	356	0	146	50	86	86
PENN CENTRAL COMPANY	575	2,052	766	1,942	361	917	449	1,889	1,889
PHILLIPS PETROLEUM CO.	6,052	6,888	1,565	7,465	1,333	9,256	813	6,828	6,828
POLAROID CORPORATION	2,308	4,413	2,757	4,823	2,799	3,826	10,851	8,969	8,969
PROCTER & GAMBLE CO.	1,476	4,763	1,285	3,959	2,829	4,200	2,577	3,882	3,882
R. J. REYNOLDS INDUSTRIES, INC.	2,111	2,568	1,698	2,704	1,966	2,985	1,451	3,226	3,226
RCA CORPORATION	7,631	6,225	6,090	7,756	5,168	6,575	6,221	7,939	7,939
RAYTHEON COMPANY	165	753	1,051	589	322	610	496	687	687
REICHOLD CHEMICALS, INC.	185	279	144	224	180	310	454	454	454
ROCKWELL INT'L. CORP.	731	2,789	1,161	3,232	1,743	3,011	1,493	2,965	2,965
SANTA FE INDUSTRIES	991	1,738	915	1,666	1,418	1,729	2,534	1,509	1,509
SCH CORPORAION	883	1,606	853	1,520	1,951	1,951	1,055	1,114	1,114
SEARS, ROEBUCK & CO.	5,497	6,520	3,743	8,224	3,829	10,419	3,682	9,668	9,668
SINGER COMPANY	2,452	1,735	2,056	1,707	1,674	2,082	2,539	1,671	1,671
SPERRY RAND CORPORATION	1,897	5,348	2,047	5,713	1,022	5,364	1,228	4,296	4,296
STANDARD OIL CO. OF CALIF.	17,843	5,451	8,372	6,506	8,055	7,380	7,826	7,432	7,432
TELEDYNE, INC.	1,806	3,367	971	1,225	955	3,166	1,027	2,270	2,270
TENNECO, INC.	1,859	3,730	2,779	4,072	6,076	4,560	7,323	3,987	3,987
TEXACO INC.	8,188	9,907	7,178	9,312	14,313	10,034	14,088	9,941	9,941
TEXAS GULF INC.	1,694	4,890	688	2,728	1,791	2,124	648	2,315	2,315
TEXAS INSTRUMENTS, INC.	2,598	4,197	2,952	4,917	1,598	3,159	1,307	2,152	2,152
THIOKOL CHEMICAL CORP.	978	497	3,391	4,688	548	440	207	373	373
TRANS WORLD AIRLINES, INC.	2,416	1,329	2,222	1,199	2,033	1,087	1,724	661	661
UNION CARBIDE CORP.	5,970	6,660	6,053	3,810	9,046	4,528	7,601	4,057	4,057
UNITED AIRCRAFT CORP.	1,480	1,338	4,381	1,015	1,519	862	2,730	1,545	1,545
UNITED NUCLEAR CORP.	193	773	147	493	246	830	115	388	388
U. S. STEEL CORPORATION	3,056	4,404	3,332	5,516	1,902	5,643	1,033	6,376	6,376
WARNER LAMBERT CO.	2,543	4,014	3,012	3,941	2,084	4,908	1,615	7,043	7,043
WESTERN UNION CORPORATION	9,267	1,715	5,916	1,050	6,962	2,115	4,932	1,781	1,781
WESTINGHOUSE ELECTRIC CORP.	2,625	5,630	3,243	4,963	1,928	4,632	2,419	4,434	4,434
WILLOWORTH COMPANY	1,425	2,630	1,114	2,385	1,460	2,744	2,507	2,837	2,837
XEROX CORPORATION	4,407	10,346	3,981	8,532	4,709	10,017	5,537	9,376	9,376
TOTALS ***	304,980	438,129	319,262	417,708	322,195	436,274	331,357	421,173	

**ROUND-LOT AND ODD-LOT SHARE VOLUME
ON THE AMERICAN STOCK EXCHANGE
(SHARES)**

Week Ended August 17, 1973

Trade Date	Total Round-Lot Transactions	Round-Lot Transactions of Members For Own Account ¹			Odd-Lot Transactions Of Customers ²
		As Specialists ²	As Floor Traders	Others	
Mon.	61 Purchases	1,625,810	273,815	25,050	102,150
	62 Sales-Total	1,625,810	210,050	33,600	122,693
	63 -Short	33,405	15,000	1,200	5,485
Tues.	64 Purchases	1,997,950	392,115	35,350	109,731
	65 Sales-Total	1,997,950	281,235	31,800	153,663
	66 -Short	45,900	20,650	1,700	12,900
Wed.	67 Purchases	1,568,475	227,910	24,850	112,950
	68 Sales-Total	1,568,475	300,750	33,850	103,577
	69 -Short	54,355	26,400	3,300	9,900
Thurs.	70 Purchases	1,711,375	211,365	30,800	99,400
	71 Sales-Total	1,711,375	295,340	44,450	115,125
	72 -Short	57,100	28,100	11,150	15,200
Fri.	73 Purchases	1,531,760	200,050	22,200	123,900
	74 Sales-Total	1,531,760	217,125	27,900	113,259
	75 -Short	38,950	18,050	2,400	10,100
WEEK	76 Purchases	8,435,370	1,305,255	138,250	548,131
	77 Sales-Total	8,435,370	1,304,500	171,600	608,317
	78 -Short	229,710	108,200	19,750	53,585

51 57
AMEX 4

¹ The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

² On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.

1/
FORM 144 FILLINGS EDGAR WEEK ENDED 08/23/73

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER ISSUE SELLER NAME	SHARES EQ SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
A & E PLASTIC PAK INC CUM DIGEDEX CORP	5,600	08/27/73	AUTO GRAPHICS INC CUM MARSHALL CARY A	TOTAL.....	4,200
ACS INDUS INC CUM LUTJENS HANS	TOTAL.....	5,600	BACHE & CO INC CUM VENEMA ROBERT G	TOTAL.....	7,800 08/25/73
ADVENT CORP CUM FIELDLUS EDWARD	10,000	08/20/73	BAKER INDUS INC CUM WELJE MACHELLE CUST BEN	TOTAL.....	1,000 08/23/73
FIELDLUS KUBERT L	TOTAL.....	~10,000	DELUE RONALD W	TOTAL.....	437 08/30/73
AEROSUNIC CORP DEL CUM FEIGEN KUBERT S	977	08/10/73	DELUE WANDA JANICE	TOTAL.....	437 08/30/73
ALASKA AIRLS INC CUM BURGESS LLOYD A	2,600	08/10/73	MILLER HAROLD H	TOTAL.....	2,000 08/23/73
ALLEN GROUP INC CUM STERLING S CO	TOTAL.....	2,600	BANGKOK PUNTA CORP CUM BOLLES MARY P	TOTAL.....	5,223
THILL GEORGE K	1,212	08/27/73	BARD C R INC CUM EMERSON HOSPITAL	TOTAL.....	7,500 08/31/73
TOTAL.....	2,600	08/21/73	BARNETT BKS FLA INC CUM TRUST FBO JORDON JILL M	TOTAL.....	100
ALLIED LEISURE INDUS INC CUM ALTERMAN AKLINE FAYE	2,500	08/10/73	TRUST FBO GORDON JONATHAN R	TOTAL.....	1,000 08/23/73
TRUST FBO ALTERMAN HAYLEY	2,500	08/10/73	TRUST FBO GORDON JONATHAN R	TOTAL.....	2,000 08/23/73
TRUST FBO ALTERMAN JENNIFER	2,500	08/10/73	TRUST FBO GURDON SPENCER B	TOTAL.....	1,000 08/23/73
TOTAL.....	7,500	08/10/73	TRUST FBO SEGAL BETTY L	TOTAL.....	1,000 08/23/73
ALCA CORP CUM GULDBY PATRICIA F	2,000	08/23/73	TRUST FBO SEGAL PATRICIA G	TOTAL.....	1,000 08/24/73
GULDBY STEVEN D	2,000	08/23/73	TRUST FBO SEGAL RICHARD D	TOTAL.....	1,000 08/23/73
TOTAL.....	4,000	08/10/73	TRUST FBO SEGAL WENDY S	TOTAL.....	1,000 08/23/73
AMERICAN EXPRESS CO CUM NIUGEMAN LOUIS PETER	192	08/15/73	BENG ENTERPRISES INC CUM KANTOR DAVID	TOTAL.....	10,000
NIUGEMAN LOUIS H	2,000	08/15/73	BEST PROJS INC CUM DEMOCRATIC STATE HEADQUARTERS	TOTAL.....	500 07/26/73
TOTAL.....	2,192	08/10/73	BIO DYNAMICS INC CUM LUND PETER J	TOTAL.....	140 08/27/73
AMERICAN HOSP SUPPLY CORP CUM BAIRU RUBERT R	350	08/10/73	BLIO KINETICS CORP CUM MARKERT JOHN W	TOTAL.....	140 08/27/73
INNUEBLES E INVERSIONES LATINOAMERICANA INNUEBLES E INVERSIONES LATINOAMERICANA	25,956	08/15/73	BLASIUS INDUS INC CUM NESS MARTIN R	TOTAL.....	9,000 09/01/73
LEGGETT LYNN TINCHER	500	08/24/73	BLUEBIRD INC CUM KILROY JOHN B	TOTAL.....	9,000
TOTAL.....	27,131	08/15/73	BUNANZA INTL INC CUM LOUISIANA TECH ALUMNI FUND	TOTAL.....	1,000 08/30/73
AMERICAN REC GROUP INC CUM NEW AMERICA FUND INC	25,760	08/30/73	LOUISIANA TECH ALUMNI FUND	TOTAL.....	3,700 08/17/73
TOTAL.....	25,760	08/30/73	LOUISIANA TECH ALUMNI FUND	TOTAL.....	3,700 08/17/73
AMERICAN REGITER CORP CUM JOHNSON LELAND E	5,762	08/23/73	BLASIUS INDUS INC CUM NESS MARTIN R	TOTAL.....	9,000 08/25/73
TOTAL.....	5,762	08/23/73	BLUEBIRD INC CUM KILROY JOHN B	TOTAL.....	9,000
AMERICAN TELECOMMUNICATIONS CUM MULTINATIONAL GROUP INC	2,250	08/20/73	BUNANZA INTL INC CUM LOUISIANA TECH ALUMNI FUND	TOTAL.....	1,000 09/04/73
TOTAL.....	2,250	08/20/73	LOUISIANA TECH ALUMNI FUND	TOTAL.....	1,000
AMERICAN TRAINING SVCS INC CUM HIRKIN MARVIN J	7,500	08/08/73	BOOZ ALLEN & HAMILTON INC CUM BRUNN JOHN F	TOTAL.....	3,700 08/17/73
TOTAL.....	7,500	08/08/73	LOUISIANA TECH ALUMNI FUND	TOTAL.....	3,700 08/17/73
ANALOG DEVICES INC CUM PASTURIZZA JAMES	2,923	08/23/73	BOOZ ALLEN & HAMILTON INC CUM BRUNN JOHN F	TOTAL.....	7,400
TOTAL.....	2,923	08/23/73	BOOZ ALLEN & HAMILTON INC CUM BRUNN JOHN F	TOTAL.....	11,150 08/24/73
ANALOGIC CORP CUM CULTON EVA T	1,000	08/15/73	BRENNAN PAIGE INDUS INC CUM GROTTA LOUIS W JR	TOTAL.....	2,000 08/05/73
TOTAL.....	1,000	08/15/73	BROOKS SCANLON INC CUM HAY MARVIN C	TOTAL.....	2,000
ANHEUSER BUSCH INC CUM BUSCH AUGUST A THIRD	3,600	08/16/73	BROWNING FERRIS INDUS INC CUM FORD EUGENE F	TOTAL.....	800 08/20/73
TOTAL.....	3,600	08/16/73	BROWNING FERRIS INDUS INC CUM FORD EUGENE F	TOTAL.....	800
ARCHER DANIELS MIDLAND CO CUM ANDREAS FUJIMURA	11,770	08/23/73	BURNUP & SIMS INC CUM SWEGER JOHN B	TOTAL.....	8,300 09/01/73
TOTAL.....	11,770	08/23/73	BURNUP & SIMS INC CUM SWEGER JOHN B	TOTAL.....	8,300
ASTROPHYSICS AESTH CORP CUM SUBEL LEE	4,200	08/20/73	BURNUP & SIMS INC CUM SWEGER JOHN B	TOTAL.....	15,000 08/24/73

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE...	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE...
C & K PETE INC CUM TILLETT M G	TOTAL.....	15,000 1,000 08/21/73	CONTROL LASER CORP CUM EVASHUK MICHAEL	TOTAL.....	350 6,498 07/30/73
CAMBRIDGE MEMORIES INC CUM JULKEL SUSAN	TOTAL.....	1,000 1,000 08/07/73	COOPER LABS INC CUM LINDEMANN FOUNDED INC	TOTAL.....	6,498 5,000 08/21/73
TRACE ANHORN S		1,000 08/07/73	CORDURA CORP CUM VERNAY JOHN F JR	TOTAL.....	5,000 1,400 08/15/73
CAMMLOU ENTERPRISES INC CUM PINCUS SIGNORET	TOTAL.....	2,000 3,785 09/25/73	CUNNING GLASS WORKS CUM ESTATE OF AUSTIN DONALD G	TOTAL.....	1,400 800 08/14/73
CAPITAL CITIES COMMUNICATIONS INC CUM DEUTSCH MANUEL K	TOTAL.....	3,785 500 08/22/73	CORROON & BLACK CORP CUM SCHMITZ RICHARD D	TOTAL.....	800 800 08/23/73
ESTATE OF FAIRCHILD EUMUNDU		12,500 08/24/73	CREATIVE SVC & DEV CORP CUM LIFE INVESTORS INTERNATIONAL LTD	TOTAL.....	800
FRANKLIN ROBERT J		1,000 08/01/73	CRUMPKER CORK & SEAL INC CUM FAJS HENRY S	TOTAL.....	800
CENVILLE COUNTYS INC CUM DATA LEASE FINANCIAL CORP	TOTAL.....	14,000 8,000 08/21/73	CYPRUS MINES CORP CUM HARVEY MUDD COLLEGE	TOTAL.....	8,000 08/27/73
CHICAGO BHOG & ITHUN LU CUM LIVEZEY KATHERINE T ANNUITY TRUST	TOTAL.....	8,000 800 08/22/73	DAMON CORP CUM HARTEN JAMES F	TOTAL.....	8,000 08/24/73
LIVEZEY KATHERINE T ENT TRUST		600 08/22/73	THORNBURGH DAVID B FAMILY TRUST		1,236 08/24/73
LIVEZEY KATHERINE T MFT TRUST		600 08/22/73	DANT & HJSSELL INC CUM BISHOPRICK STANLEY	TOTAL.....	1,236 2,900 08/21/73
TREES EMILY NICHOLS GSF TRUST		8,000 08/22/73	DAVOS INC CUM KAHN MALCOLM B	TOTAL.....	8,000 08/27/73
TREES EMILY NICHOLS KTL TRUST		8,000 08/22/73	DECRAFORM INC CUM FEIGEN ROBERT S	TOTAL.....	8,000 08/19/73
TREES GEORGE ANNUITY TRUST		800 08/22/73	DENTO MED INDUS INC CUM AGER WILLIAM C & HELEN	TOTAL.....	2,000 08/21/73
TREES GEORGE S ENT TRUST		600 08/22/73	DIAL FINL CORP CUM LEVITT RICHARD S FOUNDATION	TOTAL.....	1,000 08/22/73
TREES GEORGE S MFT TRUST		600 08/22/73	DISNEY WALT PROOTNS CUM DISNEY PATRICIA A	TOTAL.....	10,930 10,930 08/17/73
LUCA CULIA CO CUM CITY OF ATLANTA	TOTAL.....	20,000 14,880 08/21/73	DILLER DIANE DISNEY CUM MILLER DIANE DISNEY	TOTAL.....	2,900 16,333 08/21/73
OLLING FUDS INTL INC CUM CHARLES ALBERT H	TOTAL.....	14,880 469 08/21/73	DONBAR DEV CORP CUM EISNER LILA	TOTAL.....	2,000 3,125 08/19/73
COMBINED INS CO AMER CUM STUHNE NURMAN C	TOTAL.....	469 10,000 08/24/73	DOW JONES & CO INC CUM LESCY SAMUEL C	TOTAL.....	3,125 2,000 08/21/73
COMBUSTION ENGR INC CUM BATESON MARULU	TOTAL.....	10,000 08/24/73	DRAKE INDUS INC CUM GOODMAN C R & JEAN D	TOTAL.....	2,000 1,000 08/22/73
GRAHAM E M JR		100 08/21/73	DUW JONES & CO INC CUM GOODMAN C R & JEAN D	TOTAL.....	1,000 20,914
COMBUSTION EQUIP ASSOC INC CUM AMERICAN CANCER SOCIETY NEVADA DIVISION	TOTAL.....	1,500 200 08/17/73	EISNER LILA CUM HISHEY WALT PROOTNS	TOTAL.....	2,000 10,914 08/13/73
EASTER SEAL SOCIETY OF NEVADA INC		200 08/17/73	GOODMAN C R & JEAN D CUM MILLER DIANE DISNEY	TOTAL.....	10,000 08/17/73
COMMERCIAL RES CORP CUM PUSINGER RICHARD	TOTAL.....	400 6,500 08/15/73	GODFREY ROBERT CUM RISK ROBERT G	TOTAL.....	550 08/22/73
COMPUTERVISION CORP CUM VILLENS PHILIPPE	TOTAL.....	6,500 3,500 08/13/73	GODFREY ROBERT CUM RISK ROBERT G	TOTAL.....	550 300 08/22/73
COMPUTER MACHY LUMP CUM ANGELOFF JANN V	TOTAL.....	3,500 1,000 08/29/73	GODFREY ROBERT CUM RISK ROBERT G	TOTAL.....	300 4,000 08/20/73
CONCEPT INC CUM TURNER RUY L	TOTAL.....	1,000 1,000 08/20/73	GODFREY ROBERT CUM RISK ROBERT G	TOTAL.....	30,600 08/22/73 36,600
CONNECTILIT GEN INS CORP CUM RUSSELL THOMAS M JR	TOTAL.....	1,000 3,000 08/22/73	EARTH SCIENCES INC CUM LITMAN E M	TOTAL.....	3,000 11,200 08/20/73
CONTROL DATA CORP DEL CUM KEYE WILLIAM K	TOTAL.....	3,000 350 08/27/73	ECHO OIL CORP CUM ROBERTS HARRY F	TOTAL.....	11,200 4,000 08/20/73

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ELIXIR INDOS CUM NEW HOPE FOUND OF AMERICA INC	TOTAL.....	4,000	FRASER JACK C		500 08/23/73
		5,000 09/01/73	TATUM FARRIS A		2,250 08/23/73
ELPAC INC CUM BAILEY RICHARD E SR	TOTAL.....	5,000	GRANITE MGMT SVCS INC	TOTAL.....	2,750
		56,000 08/22/73	CUM THAYER EDWARD		8,550 08/24/73
ELSBURG GOLD MNG LTD CUM MATZA MENACHEM	TOTAL.....	55,000	GREAT BASINS PETE CO	TOTAL.....	8,550
		3,000 08/20/73	CUM KEYES ROBERT L		35,000 08/10/73
UPHER MICHAEL		1,000 08/20/73	GREAT EASTN MGMT CORP	TOTAL.....	35,000
			CUM TOBIN JACK		3,775 08/15/73
ENVIRONMENT-ONE CORP CUM FERRUGLI TITUMASINA P & PASQUALE J	TOTAL.....	4,000	GREAT LAKES CHEM CORP	TOTAL.....	3,775
		2,500 08/18/73	CUM BRUTTIG BERNARD D & DAWN P		12,150 08/21/73
ENVIRONMENTAL SVS INTL INC CUM SAMNUFF EDWARD L	TOTAL.....	2,500	BRUTTIG RICHARD B & GERALDINE S	TOTAL.....	11,500 08/23/73
		3,000 08/24/73			20,650
ENVIRUTECH CORP CUM CARNUAL PAUL J JR	TOTAL.....	3,000	GUARDSMARK INC		5,000 08/22/73
		200 08/20/73	CL A O'CONNELL MICHAEL X		
FAIN NM IRREVOCABLE TRUST		400 08/13/73	GULF RES & CHEM CORP	TOTAL.....	5,000
FAIN PRESENT INTEREST TRUST		400 08/13/73	CUM KRIBEL EDWARD G		40,300 08/24/73
FUNDATYLOR		400 08/13/73		TOTAL.....	40,300
EVANS & MITCHELL INDOS INC CUM FERGUSON RICHARD C	TOTAL.....	1,400	HALL FRANK B & CO INC		500 08/07/73
		1,000 08/22/73	CUM WELCH JOHN E		
FARMERS GROUP INC CUM SAINT JOHN UP GO NURSING HOSPITAL	TOTAL.....	1,000	HALLIBURTON C3	TOTAL.....	500
		300 07/25/73	CUM HALLIBURTON EMPLOYEES BENEFIT FUND		50,000 08/27/73
FIRST BANCSHARES PLA INC CUM RUSSIN THOMAS E	TOTAL.....	300	HARVEY GROUP INC	TOTAL.....	50,000
		2,000 08/22/73	CUM RAPAPORT STEPHEN A		3,375 08/24/73
FISCHBACH & QUURE INC CUM STEINN FREUD L	TOTAL.....	2,000	HERS APPAREL INDOS INC	TOTAL.....	3,375
		3,500 08/22/73	CUM MELLER STANLEY		800 08/23/73
			MELLER STANLEY		1,000 08/23/73
			MELLER STANLEY		500 08/24/73
FLORIDA WTR & UTILS CO CUM KAMPEL CLARISSA B	TOTAL.....	3,500	HETTMAN CORP	TOTAL.....	2,100
		25,000 08/29/73	CUM KAUFMAN WILLIAM M & IRIS F		2,000 09/01/73
FLOYD ENTERPRISES INC CUM ESTRADA GILBERT	TOTAL.....	25,000	HODK DRUGS INC	TOTAL.....	1,500
		7,000 09/01/73	CUM LINDOP AL N		1,200 08/17/73
FONTE MINERAL CUM PFU LONY & CO WEISSENBERGER G & L	TOTAL.....	7,000	HYCEL INC	TOTAL.....	1,200
		12,600 08/22/73	CUM MURAN JOHN J		13,300 08/21/73
FRIULITRONICS INC CUM DUNMER LAWRENCE E	TOTAL.....	12,600	INFORMATION INTL INC	TOTAL.....	13,300
		2,616 08/23/73	CUM BOSTON HOUSTON CORP		2,000 08/21/73
			BOSTON HOUSTON CORP		1,500 08/22/73
FULLER M B CO CUM SMITH ADELAIDE A	TOTAL.....	2,610	INTEL CORP	TOTAL.....	3,500
		500 08/02/73	CUM ESTATE OF COCKRELL ERNEST JR		2,000 08/13/73
FUNTIME INC CUM GASCOIGNE EARL H	TOTAL.....	500	MORRELL M JOY		800 08/30/73
		1,000 08/24/73		TOTAL.....	2,084
LULULU GASPER C		970 08/29/73	INTERCO INC		
			CUM FINE CHARLES B		1,000 08/01/73
VANWYURHIS DALE H		1,000 08/29/73		TOTAL.....	1,000
GELOCO CUM CUM CARNEY JAMES F	TOTAL.....	2,970	INTERCONTINENTAL ENERGY CORP		
		2,000 08/22/73	CUM ABRAMS SALLY		200 08/21/73
GENERAL BINDING CORP CUM TRUST FBO JIMLEIN RICHARD ELLIS	TOTAL.....	2,000	INTERNATIONAL PAPER CO	TOTAL.....	200
		7,500 08/23/73	CUM KLUSSMAN WILLIAM P		5,000 09/01/73
GENESCU INC CUM HALL JAMES F	TOTAL.....	7,500	INTERSIL INC	TOTAL.....	5,000
		800 08/15/73	CUM BAKHART JJE		1,000 08/22/73
GENUINE PARTS CO		800	GUGGENHIME RICHARD J		800 08/22/73
			PENDELTON WOOLEN MILLS INC PROP SHAR TR		1,000 09/01/73

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE...	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE...
SUPERVISED INVESTORS GRUNTH FUND INC	7,500	08/24/73	TRUST FBO STICKELBER FLORENCE A	1,000	08/20/73
SUPERVISED INVESTORS SUMMIT FUND INC	4,500	08/24/73	TRUST FBO STICKELBER MARION R	1,000	08/20/73
TECHNOLOGY FUND INC	16,000	08/24/73	TRUST FBO STICKELBER MERLIN A	10,000	08/20/73
	TOTAL.....	28,000	TRUST FBO STICKELBER MERLIN C JR	1,000	08/20/73
ITEK LWRP COM MUKKISSEY CLIFFORD E JR	1,800	08/24/73	TRUST FBO STICKELBER PAULA S	1,000	08/20/73
	TOTAL.....	1,800	MARLENNAN CORP COM CAVANAUGH WILLIAM T		3,787 08/23/73
ITEL CORP CUM MEYER DONALD J	480	08/22/73	FANT SALLY SCHINNEKER FANT SALLY SCHINNEKER	459	08/22/73
JB S BIG BOY FAMILY CUM CORG OF PRES CHURCH OF J & OF LATTER DA	TOTAL.....	480	MAY PETE INC COM GEHST W DEAN	37	08/22/73
KUNNEN WESS SCOTT	1,000	08/10/73			4,000 08/20/73
	TOTAL.....	2,050	MAYDAY URANIUM INC CUM CHRIST PRESBYTERIAN CHURCH		4,000
JONES R B CORP CUM HANDY A WESLEY	6,000	08/19/73	MCDERMOTT J RAY & CO INC CUM HENSON JOHN CARTER		34 08/02/73
KAMPGROUNDS AMEK INC CUM ENRERM CLAYTON S	TOTAL.....	6,000	MCDONALDS CORP CUM MICHALE ANTHONY J		1,000 08/24/73
	TOTAL.....	1,000			1,000
KENNEY & COHEN INC CUM OHULEI TURAH SCHUL OF MIAMI INC	9,000	08/22/73	MEADCOM INC COM PUISZ ROBERT E		4,000 08/27/73
OHULEI TURAH SCHUL OF MIAMI INC	9,000	08/22/73			4,000
KIDDE WALTER & CO INC COM KIRKIN SELMA	TOTAL.....	18,000	MEDEQUIP CORP CUM WESTON ROGER L		20,000 08/24/73
	TOTAL.....	13,000	MERRILL LYNCH & CO INC CUM SHEERAN ROBERT L		5,000 08/15/73
KIMBERLY CLARK CORP CUM PULITZER ALFRED	500	08/22/73			5,000
KULLUNGEN CJHP CUM KEMP KENNETH	TOTAL.....	500	MERRILL LYNCH & CO INC CUM SHEERAN ROBERT L		1,000 08/22/73
	TOTAL.....	1,000			1,000 08/22/73
KUSTON ELECTRA INC CUM GREENE TED H GREENE TED H	1,500	08/21/73	MIDNITE MINES INC CUM LEBRET JAMES V		35,000 08/24/73
	1,000	08/24/73			
LEADVILLE CUM CUM SCHAFFER KUDIE M	TOTAL.....	2,500	MILLIPORE CORP CUM BUSH JOHN HATHAWAY		2,500 08/22/73
	5,000	08/17/73			
LONGCHAMPS INC CUM UNITED VENTURE FUND LTD	TOTAL.....	5,000	MR STEAK INC CUM SHEARON JAMES C		2,000 08/22/73
	08/15/73				
MGIC INV'T CORP CUM MT SENARIJ COLLEGE	TOTAL.....	200	MITCHUM JONES & TEMPLETON INC CUM NANKIN SHELDUN J		2,000 08/24/73
	200	07/27/73			
MAGRODYNNE CHATILLON CORP CUM WINCHELL VERNE M	TOTAL.....	200	MONITOR LABS INC CUM PEFFENBACH RICHARD R		788 08/15/73
	1,880	08/08/73			
PFO SER A LUNV SU-50 WINCHELL VERNE M	TOTAL.....	08/08/73	MONSANTO CO CUM FERNANDEZ LOUIE		788
	1,880				
MALGRUSE INDUS CORP CUM CREST SECURITIES CORP	TOTAL.....	1,880	MOSTEK CORP CUM HSNE MINT		509 08/22/73
	750	08/30/73			
MALLINCKRODT CHEM WKS CL A SCHLEIER HARRIS M	TOTAL.....	750	SHUBBELL MARLIN L		2,000 08/27/73
	18,750	08/22/73			
SHAWKUTU CHARLES M	TOTAL.....	6,800	MUTIION IND'S INC CUM HAMNER JOE H		1,000 07/31/73
	6,800	08/27/73			
MANHATTAN INDUS INC CUM HART W LEWIS	TOTAL.....	25,550	MURRAY OHIO MFG CO CUM DUNIGAN MARY H		1,978 08/21/73
	1,000	09/20/73			
MARATHON OIL CO CUM TURKENCE J WILLIAM	TOTAL.....	1,000	NATIONAL DATA CORP CUM KENYON M TIMOTHY		400 08/24/73
	3,200	09/10/73			
MARION CORP CUM TRUST FBO STICKELBER ELIZABETH K	TOTAL.....	3,200	NATIONAL HEALTH ENTERPRISES CUM PALIAFITO HAROLD		100 07/16/73
	1,000	08/20/73			
					11,000 08/24/73

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
NEW PRODS CORP CUM KUPKE LARRY L	TOTAL.....	11,000	FASULO DOROTHY	TOTAL.....	2,500 08/20/73
NEWPORT PHARMACEUTICALS INTL CUM DICKATT RAMUN	TOTAL.....	18,788 08/13/73	RAPIDATA INC CUM LAN REALTY OPERATIONS INC	TOTAL.....	6,200 08/27/73
NUCLEONIC PRODS INC CUM JULLES ANTHONY J	TOTAL.....	5,407 08/16/73	OBRIEN R J	TOTAL.....	1,300 08/23/73
NUCLEONIC PRODS INC CUM JULLES ANTHONY J	TOTAL.....	5,407	REDFERN FOODS CORP CUM REDFERN E LEE	TOTAL.....	5,000 08/13/73
DAK IND'S INC CUM TRUST FBO HARPEK CAROLYN L	TOTAL.....	5,000	REPROGRAPHIC MATLS INC CUM MOTT STEWART R	TOTAL.....	8,400 09/24/73
DAK IND'S INC CUM TRUST FBO HARPER PHILLIP S UT 07/13/43	TOTAL.....	1,750 08/22/73	RESEARCH COTTRELL INC CUM SUNV FRANKLIN Y K	TOTAL.....	8,400
DAK IND'S INC CUM TRUST FBO HARPER PHILLIP S & LARULYN L	TOTAL.....	1,750 08/22/73	ROHM & HAAS CO CUM RAIG FREDERICK J	TOTAL.....	2,000 08/27/73
DAK IND'S INC CUM TRUST FBO HARPEK PHILLIP S UT 06/22/61	TOTAL.....	2,750 08/22/73	ROHM & HAAS CO CUM RAIG FREDERICK J	TOTAL.....	2,000
ON LINE SYS INC CUM STAIB RUBERT B	TOTAL.....	6,000	RYAN HOMES INC CUM RYAN EDWARD H	TOTAL.....	400
OPTEL CORP CUM HALPERN JUHN	TOTAL.....	2,400	RYDER SYS INC CUM DELUZIER JEANNE V	TOTAL.....	10,000 08/30/73
OPTICAL COATING LAB INC CUM ILLSLEY KULF F	TOTAL.....	2,400	RYLAND GROUP INC CUM JENKINS RICHARD H	TOTAL.....	500 09/15/73
P E G IND'S INC CUM HILL NATHAN	TOTAL.....	500 08/22/73	ST REGIS PAPER CO CUM ESTATE OF DUFFY PHILIP B	TOTAL.....	3,500 08/23/73
P E G IND'S INC CUM HILL NATHAN	TOTAL.....	600 08/01/73	ST REGIS PAPER CO CUM ESTATE OF DUFFY PHILIP B	TOTAL.....	9,000 08/23/73
HACKAY GEJAGE	TOTAL.....	267 08/23/73	TWEN ROBERT P	TOTAL.....	9,000
PATINE WEBER JACKSON & CURTIS CUM CAREY BARBARA L	TOTAL.....	1,367	SAMSONITE CORP CUM TRUST FBO SKWAYNER KEITH A	TOTAL.....	3,500 08/16/73
DEPLANQUE NURMAN	TOTAL.....	1,000 08/26/73	SANITAS SVC CORP CUM FIERBERG I MARTIN	TOTAL.....	3,500
MCNUGHEY RUBERT A	TOTAL.....	2,500 08/21/73	TWEN ROBERT P	TOTAL.....	10,031 08/27/73
PEL ISKRA ECONOMIL CUMP CUM LEIB HERKIN INC	TOTAL.....	2,000 08/23/73	SANTA FE INT'L CORP CUM JUNISUN JOHN P	TOTAL.....	3,500 08/25/73
PERTEC CUMP CUM KURTH HAROLD A	TOTAL.....	1,000	RUBINSON J D	TOTAL.....	3,000 08/27/73
PETRULANE INC CUM PHICE JENNY MARIE LEVY	TOTAL.....	30,000 08/13/73	SAVIN BUSINESS MACHS CORP CUM LOW ROBERT K	TOTAL.....	3,500
PHILLIPS VAN HEUSEN CORP CUM TRUST FBO NACHMAN JOSEPH	TOTAL.....	30,000	SAVIN BUSINESS MACHS CORP CUM LOW ROBERT K	TOTAL.....	10,000 08/27/73
PIEKLIK INT'L INC CUM LURSON IRVIN	TOTAL.....	939 08/17/73	SAVIN BUSINESS MACHS CORP CUM LOW ROBERT K	TOTAL.....	8,000 07/27/73
PIEKLIK INT'L INC CUM LARPE CHARLES	TOTAL.....	1,000 08/27/73	SCUTTS LIQUID GOLD INC CUM MAKOWSKI EDGAR L	TOTAL.....	18,000
POHL JOHN JK	TOTAL.....	1,000	SEA CONTAINERS INC CUM KLEE FUND	TOTAL.....	703 08/20/73
UNIV OF HEALTH SCIENCES/ CHICAGO MEDIC CUM PIECE WOODS SHOPS INC	TOTAL.....	18,924 08/20/73	WORLD WILDLIFE FUND INC	TOTAL.....	700 08/20/73
PIECE WOODS SHOPS INC CUM MURGAN JAMES MARLY JA	TOTAL.....	200 08/22/73	SEALED AIR CORP CUM BARCLAY LORNE R	TOTAL.....	1,403
PIZZA CORP AMER CUM RAEKEMEN RUBERT L	TOTAL.....	30,668	SEISCOM DELTA INC CUM MANTEL SCHIFFRA	TOTAL.....	1,000 09/15/73
PIZZA CORP AMER CUM URUURKE ALBERT J JR	TOTAL.....	1,600 08/24/73	SMITH INT'L INC CUM CORY PATRICK E & JANET C	TOTAL.....	1,000 08/23/73
PUNX LTD CUM LILLIS GEORGE	TOTAL.....	1,900	GRAHAM DONALD E	TOTAL.....	4,100 08/22/73
RADIATION TECHNOLOGY INC CUM	TOTAL.....	3,015 08/01/73	NEELY NANCY C	TOTAL.....	5,000 08/22/73
RADIATION TECHNOLOGY INC CUM	TOTAL.....	3,015	WILLIAMS DAVID	TOTAL.....	664 08/14/73
			SNAP ON TOOLS CORP	TOTAL.....	39,764

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SELL	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SELL
COM MINNICH'S ANITA V	1,000	07/20/73	UNINET CORP COM FIELDS JOUGLAS P	4,000	08/27/73
SPACELABS INC COM GRAHAM GEORGE MAHVIN JR	TOTAL.....	1,000			4,000
			UNISHIPS INC COM SAMONITZ MARTIN		55,550 08/24/73
SPECIALTY COMPOSITES CORP COM BANK OF BABYLON	TOTAL.....	1,500	UNITED BKS COLO INC COM HERSHNER JOHN D		50,000 08/22/73
					50,000
SPIRAL METAL INC COM KAPLAN JACK	TOTAL.....	3,000	UPJOHN CO COM KALANAZU FOUND		150 02/16/73
					150
STELBER INDUS INC COM BARRIS GEORGE	TOTAL.....	23,250	VERIT INDUS COM ABE GEORGE V		2,000 07/15/73
					2,000
STORAGE TECHNOLOGY CORP COM ANUERSU LAWRENCE B	TOTAL.....	2,000	VIAJAC INTL INC COM IGLEHART J A H		5,500 08/06/73
					5,500
UBA ASSET MANAGEMENT CO		2,600 08/30/73			
SYSCH CORP COM MALL ROBERT E	TOTAL.....	6,750	VICTORIA STA INC COM LUMMIS EDWARD F JR		2,000 08/27/73
					2,000
HALL WILLIAM L		500 08/22/73			
KIMBALL MARJURIE B		2,000 08/20/73	VIDEU SY'S CORP COM CAMPBELL DAVID M		4,250 08/27/73
					4,250
TEKTRONIX INC COM KHIGER RILAKU R	TOTAL.....	3,500	VISUAL SCIENCES INC COM CONSTANTINOU PERICLES		6,000 08/21/73
					6,000
TELCO MARKETING SVCS INC COM GRUBER JAMES J GRUBER JAMES J	TOTAL.....	500	WAL MART STORES INC COM SCHULTZ ALVIN SR & LOUISE		12,700 08/25/73
					12,700
TELEFLEX INC COM MULNAR W S CO	TOTAL.....	2,500 08/17/73	MULLER RICHARD L		1,834 08/24/73
		2,500 08/17/73			1,834
TELESCIENCES INC COM BRANDES GEORGE A	TOTAL.....	5,000	WELLS NATL SVCS CORP COM CENTRAL NATIONAL CORP		5,778 08/23/73
					5,778
CUMEN FREJ		4,520 08/10/73	GUTTESMAN CENTRAL NATIONAL PROFIT SHARE		1,156 08/23/73
MUNGIO JOSEPH M		5,000 08/21/73			6,934
		5,280 08/10/73	WESTINGHOUSE ELEC CORP COM BENJUN ELLY B		200 08/15/73
					200
TEXAS COMM BANCSHARES INC COM JAPNET CURTIS B	TOTAL.....	14,000	WESTON INTL CORP COM MUNNEY JEAN B		7,500 08/22/73
					7,500
JAPNET ERNEST C		13,000 08/21/73			
		20,000 08/21/73	WEYEHAEUSER CO COM CAMPUS CRUSADE FOR CHRIST INTERNATIONAL		100 07/27/73
					100
THRESHOLD TECHNOLOGY INC COM PULISH JACK B	TOTAL.....	33,000	WHITE SHIELD CORP COM VANDENHURST JACK		10,215 08/13/73
					10,215
SLUTSKY RICHARD		9,170 08/21/73			
		4,000 08/13/73	WILSHIRE OIL CO TEX COM SANFORD ADDISON L		21,216 08/18/73
					21,216
TRANS DELTA CORP LLC KAYE RICHARD I	TOTAL.....	5,000	WORCESTER CTLS CORP COM ROCHE MICHAEL C & MURIEL		300 08/24/73
					300
TRANSPORT POOL CORP COM COMMUNITY HOSPITAL INC	TOTAL.....	1,000	ZOECON CORP COM DEKK MILDRED L		311 08/22/73
					311
TULLY CORP VA COM CUNKUY MAHIAN T	TOTAL.....	1,000			
		1,000 08/23/73			
ULTIMAC SY'S INC COM BIAUMONT FRANK M	TOTAL.....	1,000			
		500 08/21/73			
GENUTH WULF		3,000 08/23/73			
SHWARTZ LEONARD		2,500 08/20/73			
WEINSTOCK GEORGE D		1,200 08/15/73			
UNICARE HEALTH SVCS INC COM YERGR CU	TOTAL.....	7,200			
		9,500 08/27/73			
		9,500			

FORM 8-K FILINGS AND AMENDMENTS PROCESSED FOR THE WEEK ENDED 08/31/73 1/

THE COMPANIES LISTED BELOW HAVE FILED REPORTS ON FORM 8-K FOR THE PERIODS INDICATED, RESPONDING TO THE ITEM NUMBERS SHOWN IN THE COLUMNS. AMENDMENTS TO REPORTS PREVIOUSLY FILED ARE ALSO LISTED, IDENTIFYING THE ITEM OR ITEMS AMENDED. PHOTOCOPIES OF SUCH REPORTS AND AMENDMENTS MAY BE PURCHASED FROM THE COMMISSION'S PUBLIC REFERENCE SECTION (IN ORDERING, PLEASE GIVE MONTH AND YEAR OF REPORT).

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
AIR MICHIGAN INC	13	07/73 DEVELOPMENT INTERNATIONAL CORP		9,14	08/73
ALPHANUMERIC INC	2,7,14	11/72 DIAGNOSTIC INC		12	07/73
ALPHANUMERIC INC	2,7,13,14	12/72 DIA FINANCIAL CORP		7	07/73
AMELCO CORP	10,14	07/73 DIVERSIFIED EARTH SCIENCES INC		2,9,14	07/73
AMERICAN FAMILY LIFE ASSURANCE CO OF IOWA	13	07/73 DIVERSIFIED INDUSTRIES INC		1,13,14	07/73
AMERICAN RECREATION GROUP INC	7,14	08/73 DUPLEX PRODUCTS INC		7,13	07/73
AMERICAN TELECOMMUNICATIONS CORP	2,14	07/73 DUPONT E I DENEMOURS & CO		14	07/73
APPLIED FLUIDICS INC	13	04/73 EMPIRE APPAREL STORES INC		1,13,14	07/73
APPLIED LOGIC CORP	13,14	07/73 EMPIRE EQUITIES INC		2,7,13,14	07/73
ARGONAUT ENERGY CORP	2,7	07/73 EUREKA PRODUCTS CO		12,14	01/73
ARLEN REALTY & DEVELOPMENT CORP	4,7,11,14	07/73 EVANS & MITCHELL INDUSTRIES INC		7,14	07/73
ATLAS MOTELS INC	13,14	07/73 EXCELSIOR INSURANCE CO OF NEW YORK		7	11/72
ATHWOOD OCEANICS INC	13	06/73 EXCELSIOR INSURANCE CO OF NEW YORK		11,14	05/73
ATHWOOD OCEANICS INC	13	07/73 FAIRFIELD GENERAL CORP		13	07/73
AVM CORP	10,14	05/73 FARMLAND INDUSTRIES INC		7,8	07/73
BANCO NACIONAL DE OBRAS Y SERVICIOS PUBL	13	07/73 FIRST LINCOLN FINANCIAL CORP		1	07/73
BANCSHARES OF NORTH CAROLINA INC	10,14	03/73 FIRST PENNSYLVANIA MORTGAGE TRUST		13,14	07/73
BANK SECURITIES INC	7	07/73 FONTAINE BROTHERS INC		8	07/73
BARRIE MINTON & CO INC	2,7,14	07/73 FREEMAN CARL & ASSOCIATES INC		11,14	05/73
BAYUR CIGARS INC	13	07/73 FRESNO GUARANTEE SAVINGS & LOAN ASSOCIAT		1,14	07/73
BCC INC	11	07/73 FUNDING SYSTEMS CORP		11,12,14	07/73
BEECH CREEK RAILROAD CO	3	07/73 GAC CORP		3,6,13	07/73
BELL FUNDING CORP	7	07/73 GENERAL TELEPHONE CO OF FLORIDA		7	07/73
BELMONT INDUSTRIES INC PENNSYLVANIA	13,14	07/73 GENERAL TELEPHONE CO OF PENNSYLVANIA		7,14	02/73
BENEFICIAL NATIONAL CORP	11	07/73 GENESCO INC		10,14	07/73
BENEFICIAL NATIONAL LIFE INSURANCE CO	11	07/73 GRAND ISLAND OVERLAND CO		11,14	07/73
BLAKE STEPHENS & KITTRELL INC	13	07/73 GREAT NORTHERN MANAGEMENT CO INC		3	06/73
BRISTOL PRODUCTS INC	11,13,14	05/73 HECLA MINING CO		13,14	08/73
BROOKLYN UNION GAS CO	7,13,14	07/73 HEIGHTS FINANCE CORP		8	07/73
CANAVERAL INTERNATIONAL CORP	13,14	07/73 HERITAGE BANCORPORATION		11	06/73
CAPITAL RESERVE CORP	10	07/73 MOSKINS MANUFACTURING CO		1,3	07/73
CENTRAL BANKING SYSTEM INC	13	07/73 HOUSTON NATURAL GAS CORP		13	07/73
CENTRAL BANCSHARES CORP	13	07/73 HUCK MANUFACTURING CO		10,14	07/73
CENTURY FINANCIAL CORP OF MICHIGAN	13	07/73 INTERMOUNTAIN LABORATORIES INC		11,14	07/73
CENVILLE COMMUNITIES INC	2,14	07/73 INTERNATIONAL MINING CORP		13	08/73
CHARTER DRUG & CHEMICAL CO	13	07/73 INTERNATIONAL RECTIFIER CORP		13,14	07/73
CHICAGO ROCK ISLAND & PACIFIC RAILROAD C	13,14	03/73 INVESTORS DIVERSIFIED SERVICES INC		3,7,13,14	07/73
CITIZENS FINANCIAL CORP	12,14	07/73 KEYSTONE CUSTODIAN FUNDS INC		2	07/73
CITY INVESTING CO	11	07/73 KNUSEN CORP		3	07/73
CLEVELAND & PITTSBURGH RAILROAD CO	3	07/73 LAFAYETTE FUNDS GAS & OIL INCOME PROGRAM		7,14	07/73
CLEVELAND CINCINNATI CHICAGO & SAINT LOU	3	07/73 LEADERSHIP HOUSING INC		9,14	07/73
COASTAL STATES GAS CORP	3,13,14	07/73 LEISURE GROUP INC		3	07/73
COASTAL STATES GAS PRODUCING CO	3,13,14	07/73 LEXITRON CORP		3	07/73
COMPU INC	11	07/73 LINCO CORP		13	07/73
COMPU INDUSTRIES INC	7	07/73 LINCOLN TOWER CORP		11	08/73
COMPREHENSIVE COMMUNITIES CORP	13,14	07/73 LISTFAX CORP		13,14	07/73
COMPUTONE COMMUNICATIONS INC	13,14	07/73 LITTON INDUSTRIES INC FOUNDATION OF THE		NO ITEMS	03/73
CONDURA CORP	9,10,14	07/73 LSL CORP		13	07/73
CORNWALL INTERNATIONAL INDUSTRIES INC	12	05/73 LUDLOW INDUSTRIES INC		8	06/73
CURTIS HOOKER CORP	13	08/73 MAGADYNE CHATILLON CORP		2	07/73
DATA TEKTRONIC CORP	13	07/73 MANHATTAN CONTINENTAL DEVELOPMENT CORP		13,14	07/73
DATA INSTRUMENTS CO	13	07/73 MARATHON OIL CO		3,7,14	07/73
DATA LEASE FINANCIAL CORP	13	07/73 MAULE INDUSTRIES INC		1,2,7,8,13	07/73
DATA PRUBE INC	2	12/72 MAY EXPLORATION VENTURES INC		NO ITEMS	02/71

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	
DAY EXPLORATION VENTURES INC	NO ITEMS	06/72	TIME HOLDING CO INC	12	06/73	
DAYFLUMER CORP	2,7,14	06/73	TOPSYS INTERNATIONAL INC	8	06/73	
MEDICAL INVESTMENT CORP	7	07/73	TRACOR INC	10,14	07/73	
MEXICAN INDUSTRIES INC	7	05/73	TRANSO REALTY TRUST	1,7,9	07/73	
METHIX DATA SYSTEMS INC	7	07/73	TRIUMPH INDUSTRIES INC	2	07/73	
MICHIGAN CENTRAL RAILROAD CO	3	07/73	TWENTIETH CENTURY FOX FILM CORP	10,14	07/73	
MILLER INDUSTRIES INC	2,14	06/73	UNION OIL CO OF CALIFORNIA	3,9	07/73	
MISSISSIPPI VALLEY GAS CO	7,14	07/73	UNITED EQUITABLE CORP	7	03/73	
MISTER STEAK INC	2	07/73	UNITED NEW JERSEY RAILROAD & CANAL CO	3	07/73	
MODULE SYSTEMS & DEVELOPMENT CORP	13	07/73	UNITED STATES GYPSUM CO	3	07/73	
MOUNTAIN EQUITIES INC	1,3	07/73	USP REAL ESTATE INVESTMENT TRUST	2,7,14	07/73	
MRI PROPERTIES INC	7,14	07/73	VACU DRY CO	13	07/73	
MARRAGANSETT ELECTRIC CO	3	07/73	VAGABUND REAL ESTATE EQUITIES	13	07/73	
NATIONAL ALFALFA DEHYDRATING & MILLING C	11,13,14	07/73	VALMAC INDUSTRIES INC	2,11,14	07/73	
NATIONWIDE NURSING CENTERS INC	13,14	07/73	VANIER GRAPHICS CORP	11	06/73	
NEW YORK ASSET MANAGEMENT INC	2,14	01/73	VARIAN ASSOCIATES	7,8	07/73	
NON COMMISSIONED OFFICERS LIFE INSURANCE	1,13	07/73	WABASH MAGNETICS INC	4,7,14	09/68	
NORTH PLATTE STATE CO	11,14	07/73	WABASH MAGNETICS INC	7,14	09/70	
NORTHERN CENTRAL RAILWAY CO	3	07/73	WESTERN DECALTA PETROLEUM LTD	1	07/73	
NORTHGATE EXPLORATION LTD	13	06/73	WESTERN FIDELITY CORP	2	07/73	
NUCLEAR SYSTEMS INC	7	08/73	WESTERN PACIFIC FINANCIAL CORP	13,14	07/73	
OCEANOGRAPHY AGRICULTURE INDUSTRIES INC	3,7,14	08/73	WIGWAM STORES INC	13	06/73	
OMEGA ALPHA INC	7,14	07/73	WIGWAM STORES INC	14	07/73	
PALL CORP	4,7,8,9,14	07/73	WINDSOR NUCLEAR INC	2,10	06/73	
PAVELLE CORP	3,14	07/73	WINSTON N K CORP	2,3,11,13	07/73	
PENNZOIL LOUISIANA & TEXAS OFFSHORE INC	2	07/73	WINTERS NATIONAL CORP	2,13,14	07/73	
PEOPLES GAS CO	13	07/73	WISCONSIN ELECTRIC POWER CO	3	07/73	
PHILADELPHIA BALTIMORE & WASHINGTON RAIL	3	07/73	WISCONSIN POWER & LIGHT CO	3,13	07/73	
PHILIPSBURG INC	4,7,12,14	07/73	WORLD AIRWAYS INC	2,14	07/73	
PITTSBURGH FORT WAYNE & CHICAGO RAILWAY	3	07/73	XOMOR CORP	11	07/73	
PITTSBURGH YOUNGSTOWN ASHTABULA RAILWAY	3	07/73	ZERO MANUFACTURING CO	4,14	07/73	
PLASTICS DEVELOPMENT CORP OF AMERICA	7,14	06/73	AMENDMENTS TO REPORTS ON FORM 8-K			
PORLTAND GENERAL ELECTRIC CO	4,7,14	07/73	ATLANTIC RICHFIELD CO	7,14	06/73	
PROVIDERS BENEFIT CO	1,3,13,14	07/73	CONSUMERS WATER CO	2,14	07/73	
PULASKI FURNITURE CORP	7,8,14	06/73	COUNTRY KITCHEN INTERNATIONAL INC	3	06/73	
QUALITY DATA PROCESSING INC	13	06/73	DYNASONICS CORP	10	06/73	
QUALITY DATA PROCESSING INC	13	07/73	FIRST GREATWEST CORP	11	07/73	
RED FISH STORES INC	8	03/73	FLAVORLAND INDUSTRIES INC	13	07/73	
SCHICK INC	13,14	07/73	FLEETWOOD ENTERPRISES INC	14	06/73	
SEA CONTAINERS INC	7,14	07/73	FROSTBAND CORP	2,4,7,13,14	05/73	
SERVICE MERCHANDISE CO INC	2,13,14	07/73	GRAHAM STUART CORP	1,2,14	01/73	
SLATEK WALKER OF AMERICA LTD	11,14	07/73	GREAT SOUTHWEST CORP	3,14	06/73	
SN PARTNERS LTD	2,7,14	07/73	HARTE HANKS NEWSPAPERS INC	10,14	06/73	
SONGSCRIBER CORP	8	07/73	HIGHLAND TELEPHONE CO	14	07/73	
STANDARD PRODUCTS CO	7,10,14	07/73	INTERNATIONAL HARVESTER OVERSEAS CAPITAL	10	07/73	
STANUEKA INTERNATIONAL CORP	11,14	07/73	MID CONTINENT INDUSTRIES INC	2,3,10,14	04/73	
STANNOCO DEVELOPERS INC	13,14	07/73	MOTOROLA INC	14	05/73	
STERLING INDUSTRIES INC	7	06/73	PREMIER ATHLETIC PRODUCTS CORP	1,7,9,14	04/73	
SUBURBAN BANGKOKPURNAN	3	05/73	RUSH INDUSTRIES INC	14	04/73	
SUN OIL CO	3	07/73	SENECA FOODS CORP	14	03/73	
SYNTRICS INDUSTRIES INC	2,11	07/73	SONESTA INTERNATIONAL HOTELS CORP	3,9,13	07/73	
SYNTRAC INC	6,7,8,13,14	07/73	SYNTRICS INDUSTRIES INC	13	07/73	
TECH SERVICE INC	13	07/73				
TECHNICAL OPERATIONS INC	7,14	07/73				
TELEPHUMPTER CORP	7,13	07/73				
TENNECO INC	7,14	06/73				
TEXAS ELECTRICAL SERVICE CO	3	07/73				
TEXSTAN CORP	4,7,8,14	07/73				

RECENT REGULATION 13D ACQUISITION REPORTS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the beneficial owners listed below. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Time Inc.	Sterling Communications, Inc. Common Stock - 4,669,326 shs. Common Stock - 143,267 shs. on conversion of Demand Note	8-13-73
John L. Notter	American Savings & Loan Assn. Guarantee Stock - 12,027 shs.	8-16-73
D. K. Ludwig	American Savings & Loan Assn. Guarantee Stock - 598,500 shs.	8-16-73
Eastern International Investment Trust Ltd.	GRI Computer Corp. Common Stock - 250,000 shs.	8-20-73
Berman, Kalmbach & Co., Merridohn Partners, and HJF Associates (Partnerships) Dohn L. Kalmbach and Merrill C. Berman (Individuals)	H & R Block Inc. Common Stock - 630,975 shs.	8-22-73
Wallace E. Carroll	Chicago Helicopter Industries, Inc. Common Stock - 61,880 shs.	8-23-73
Wilmington Securities, Inc. *	Shakespeare Co. Common Stock - 500,000 shs.	8-23-73
James E. Bent	Ryerson & Haynes Common Stock - 100,000 shs.	8-23-73
Younker Brothers, Inc.	Paul Harris Stores, Inc. Common Stock - 42,300 shs.	8-23-73
Stromberg-Carlson Corp., a wholly owned subsidiary of General Dynamics Corp.	Century Telephone Enterprises, Inc. Common Stock - 150,000 shs.	8-24-73
Robert W. and Jane C. Hughes	Data Trends, Inc. Common Stock - 149,549 shs. Common Stock - 60,000 shs. on exercise of Warrants Common Stock - 160,000 shs. on conversion of Preferred	8-24-73

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Gulf & Western Industries, Inc.	Amfac, Inc. Common Stock - 751,800 shs.	8-24-73
Joseph S. Annino et al *	Consolidated Analysis Centers, Inc. Common Stock - 117,331 shs. Common Stock - 96,159 shs. on exercise of Options	8-24-73
Norbat Corp., * a wholly owned subsidiary of Northwest Industries, Inc.	General Battery Corp. Common Stock - 2,287,508 shs.	8-24-73
Utilities & Industries Corp. *	Colonial Sand & Stone Co., Inc. Common Stock - 2,438,609 shs.	8-24-73
Gulf & Western Industries, Inc. *	Bulova Watch Company, Inc. Common Stock - 464,700 shs.	8-24-73
Ball Corp.	Tally Corp. Common Stock - 1,597,559 shs. on exercise of Warrants	8-24-73
Isadore Diamond	DCS Financial Corp. Common Stock - 487,143 shs.	8-27-73
Ronald J. Speyer	DCS Financial Corp. Common Stock - 90,000 shs.	8-27-73
Nettie Vigman	DCS Financial Corp. Common Stock - 90,000 shs.	8-27-73
Geiger & Fialkov	Scanforms, Inc. Common Stock - 163,666 shs.	8-27-73
Security Pacific National Bank	KDI Corp. Common Stock - 225,260 shs. on conversion of Debentures	8-27-73
Stephen Klein et al	Barton's Candy Corp. Common Stock - 327,900 shs. Common Stock - 15,000 shs. on exercise of Options	8-27-73
Sears, Roebuck and Co. Allstate Insurance Co.	Sierra Pacific Power Co. Common Stock - 343,024 shs.	8-27-73
Sears, Roebuck and Co.	El Paso Electric Co. Common Stock - 357,700 shs.	8-27-73

* Amended Acquisition Report

<u>FILED</u>	<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
-73	Whittaker Corp.	Yardney Electric Corp. Common Stock - 3,912,452 shs.	8-27-73
-73	American Mutual Liability * Insurance Co.	Eldorado General Corp. Common Stock - 759,475 shs.	8-27-73
-73	Fifth Avenue Coach Lines, Inc. *	Elgin National Industries, Inc. Common Stock - 177,000 shs.	8-27-73
-73	Star-Kist Foods, Inc. *	Ocean Fisheries, Inc. Common Stock - 291,412 shs.	8-28-73
-73	Trafalgar House Investments Ltd.*	Dearborn-Storm Corp. Common Stock - 1,295,389 shs.	8-28-73
-73	Arthur L. Carter Richard Graham	Carter Group Inc. Common Stock - 367,614 shs. Common Stock - 100,000 shs. on execution of agreement Common Stock - 13,400 shs. on conversion of Debentures	8-28-73
-73	Carter Group Inc. *	Utilities & Industries Corp. Common Stock - 766,942 shs.	8-28-73
-73	Briarcliff Candy Corp.	Medallion Leisure Corp. Common Stock - 600,000 shs.	8-29-73
-73	Briarcliff Candy Corp.	Health-Chem Corp. Common Stock - 40,000 shs.	8-29-73
-73	Arnold E. Johns, Jr.	Southland Investment Corp. Common Stock - 51,062 shs. Common Stock - 38,500 shs. on exercise of Options	8-29-73

* Amended Acquisition Report

RECENT REGULATION 14D TENDER OFFERS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 14d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the companies listed below.

<u>OFFER TO PURCHASE BY:</u>	<u>ISSUER AND NUMBER OF SHARES TO BE TENDERED:</u>	<u>DATE FILED</u>
Golden Nugget, Inc.	Golden Nugget, Inc. Common Stock - 200,000 shs. for cash at \$7.50 net per share	8-23-73

MARKET VALUE AND VOLUME OF SALES ON UNITED STATES SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

	TOTAL MARKET VALUE	STOCKS		BONDS		WARRANTS		RIGHTS	
		SMARKET \$VALUE	SHARES	SMARKET \$VALUE	PRINCIPAL	\$/VALUE	UNITS	\$/VALUE	UNITS
JULY	R	16,637,561	13,914,609	427,005	632,674	679,822	9,282	4,480	8,768
AUGUST	R	18,419,872	17,596,016	524,595	723,487	775,829	99,191	10,300	1,182
SEPTEMBER	R	12,783,353	12,182,759	366,192	525,558	580,918	70,374	4,962	3,918
OCTOBER	R	15,561,873	14,809,930	461,370	676,383	747,693	74,992	8,618	10,911
NOVEMBER	R	19,600,944	18,540,000	556,304	935,911	989,329	124,332	12,816	2,811
DECEMBER	R	18,792,704	17,856,240	547,622	807,450	866,562	128,541	13,755	471
JANUARY	R	19,913,024	18,926,416	565,387	841,208	952,195	144,884	15,495	510
FEBRUARY	R	15,895,994	15,061,807	446,376	734,022	790,095	99,592	14,620	572
MARCH	R	17,354,672	16,486,175	519,226	783,467	869,208	83,738	10,012	1,308
APRIL	R	13,781,894	12,876,376	407,506	781,703	923,557	80,786	8,834	2,030
MAY	R	15,669,631	14,931,255	474,918	645,898	738,593	91,909	11,789	569
JUNE	R	12,769,567	12,084,650	409,344	615,246	725,338	68,385	10,223	1,187
JULY	R	12,584,940	11,927,451	398,904	604,888	701,326	51,997	7,538	1,230
BREAKDOWN FOR JULY, 1973									
* AMERICAN STOCK EXCHANGE		702,647	649,440	461,137	23,976	34,030	29,222	4,312	9
* BOSTON STOCK EXCHANGE		126,944	126,935	2,985	0	0	9	2	12
* CHICAGO BOARD OF TRADE		0	0	0	0	0	0	0	0
* CINCINNATI STOCK EXCHANGE		8,304	8,304	220	0	0	0	0	0
* DETROIT STOCK EXCHANGE		28,852	28,850	799	0	0	2	+	0
* MIDWEST STOCK EXCHANGE		59,245	593,801	17,013	28	69	12	2	404
NATIONAL STOCK EXCHANGE		1,265	1,264	465	0	0	1	2	64
* NEW YORK STOCK EXCHANGE		10,315,349	* 716,603	305,792	579,429	663,749	19,126	2,603	191
PACIFIC COAST STOCK EXCHANGE		486,807	482,334	15,644	1,447	2,664	3,026	536	0
* PHILA.-BALI.-WASH. STOCK EXCHANGE		319,646	319,038	9,021	9	13	599	81	+
* INTERMOUNTAIN STOCK EXCHANGE		66	66	245	0	0	0	0	0
SPokane STOCK EXCHANGE		817	817	785	0	0	0	0	0

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

1973 JULY	171	171	21	0	0	0	0	0
BREAKDOWN FOR JULY, 1973								
HONOLULU STOCK EXCHANGE	171	171	21	0	0	0	0	0

NA = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

* = GREATER THAN ZERO BUT LESS THAN 500

EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Millions of Dollars)

	1972		1973		1972		1973	
	January-July	January-July	January-July	July	June	July	January-July	July
EFFECTIVE REGISTRATIONS.....	2,204		1,787		301		266	252
TOTAL DOLLAR AMOUNT.....	40,226		33,719		5,608		4,089	3,728

	Number of Issues	Amount						
PRIMARY CORPORATE OFFERINGS	1,106	17,696	570	13,353	132	2,569	71	2,081
Bonds, notes and debentures	285	10,809	165	6,758	37	1,774	20	1,311
Preferred stock	49	1,310	29	1,317	8	199	4	115
Common stock	632	4,205	216	2,606	61	312	22	378
Unseasoned	400	1,082	131	218	40	87	11	14
Seasoned	232	3,123	85	2,388	21	225	11	364
Closed-end shares 1/.....	N/A	N/A	22	1,444	N/A	N/A	4	93
Other Equity Securities.....	140	1,372	138	1,229	26	283	21	183
SECONDARY OFFERINGS	697	4,565	257	1,484	88	657	30	216
Cash sale	403	3,354	70	820	42	475	7	155
Other than cash sale	294	1,211	187	664	46	182	23	60
							29	83

	Amount				
FOR EXTENDED CASH SALE AND OTHER ISSUES	13,130	10,358	1,562	1,257	1,019
1/					
Investment company issues	8,371	5,270	913	539	610
Employee savings and thrift plans ..	1,767	1,500	125	297	108
Employee stock option plans ..	2,621	2,649	501	304	269
Other employee plans	371	761	23	117	26
Dividend reinvestment Plans..	*	178	*	0	6
FOREIGN GOVERNMENT	329	624	0	0	129
OTHER NON CASH ISSUES	4,507	7,899	820	535	1,166
2/					
Exchange transactions	695	6,641	93	380	947
Reserved for conversion	1,536	742	368	146	57
Warrants and options	1,090	100	166	1	13
Other	1,186	417	194	8	149

* Dividend reinvestment plans tabulated beginning January 1973.

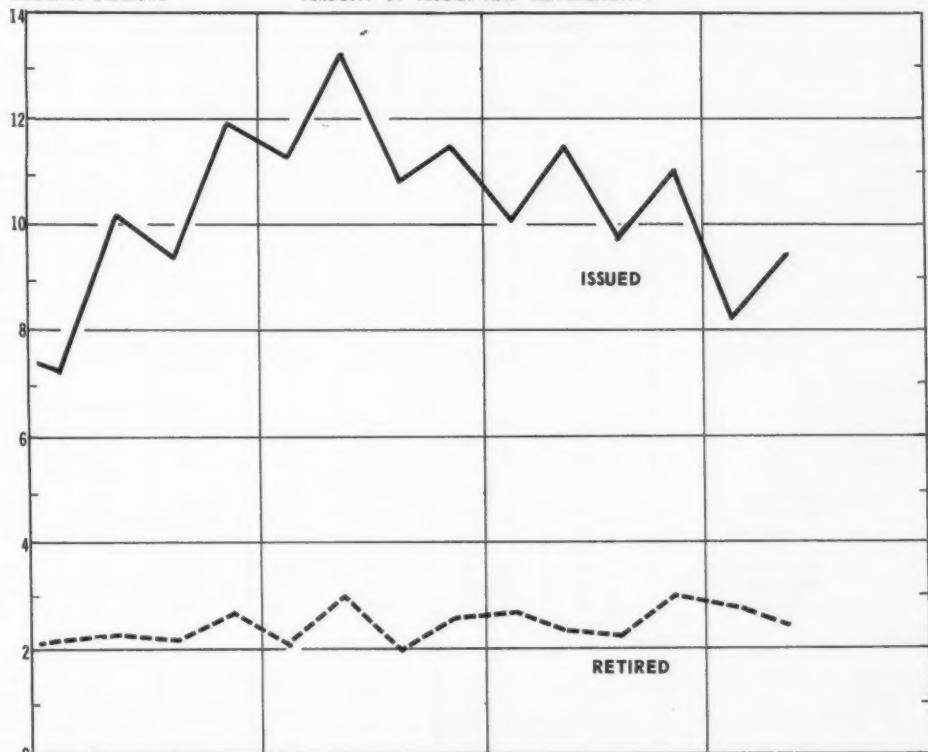
1/ Closed-end investment companies were included in extended cash sale until December 1972. Closed-end shares include preferred and common stock.

2/ Includes mergers and consolidations subject to registration under 1933 Act, Rule 154, effective January 1973.

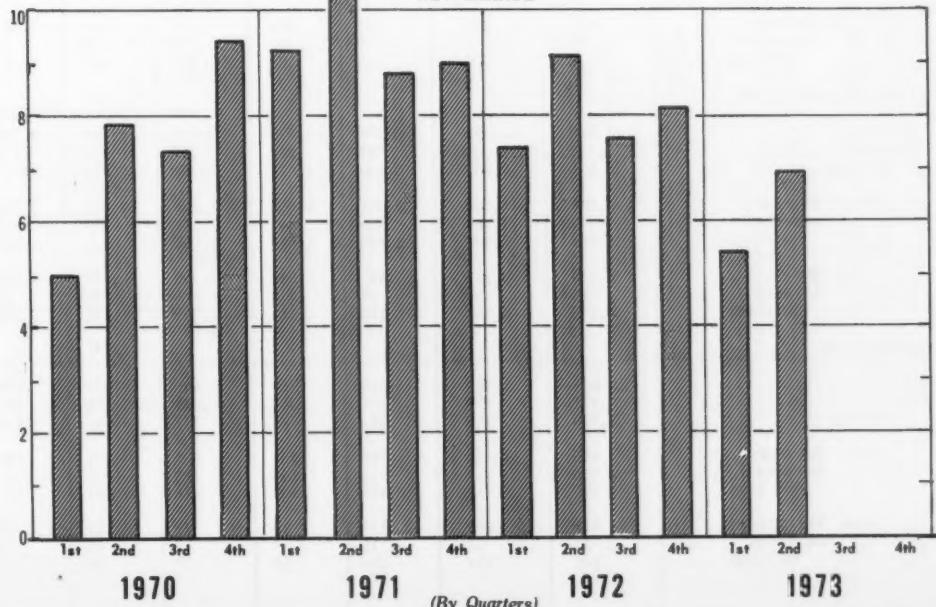
CORPORATE SECURITIES*
NET CHANGE IN AMOUNT OUTSTANDING

DOLLARS BILLIONS

AMOUNT OF ISSUES AND RETIREMENTS



NET CHANGE



*Excludes Investment Companies

NET CHANGE IN CORPORATE SECURITIES OUTSTANDING

(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1972 Apr.- June	1972 July- Sept.	1972 Oct.- Dec.	1973 Jan.- March	1973 Apr.- June
ALL INDUSTRIES							
New issues	46,687	42,306	11,514	9,776	10,944	8,219	9,418
Retirements	9,507	10,224	2,389	2,212	2,932	2,806	2,470
Net Change	37,180	32,082	9,125	7,564	8,012	5,412	6,947
Debt - New issues	31,917	27,065	7,250	6,118	6,998	4,198	5,769
Retirements	8,190	8,003	2,191	1,603	2,207	1,781	1,664
Net change	23,728	19,062	5,059	4,515	4,790	2,417	4,106
Stock - New issues	14,769	15,242	4,264	3,659	3,946	4,020	3,648
Retirements	1,318	2,222	198	609	725	1,025	806
Net change	13,452	13,018	4,066	3,049	3,220	2,995	2,842
MANUFACTURING							
New issues	12,865	8,185	2,383	2,025	1,827	1,563	1,881
Retirements	3,746	4,096	828	1,017	1,420	1,364	1,251
Net Change	9,118	4,088	1,555	1,008	406	198	630
Debt - New issues	9,571	4,832	1,415	1,096	1,057	827	1,372
Retirements	2,985	2,837	711	618	940	691	740
Net change	6,585	1,995	704	479	116	135	632
Stock - New issues	3,295	3,353	968	929	770	736	508
Retirements	761	1,259	117	399	480	673	511
Net change	2,534	2,094	851	530	290	63	-2
EXTRACTIVE							
New issues	931	1,647	227	408	683	163	211
Retirements	334	240	32	68	63	27	43
Net change	598	1,406	195	339	620	136	168
Debt - New issues	161	673	46	179	385	15	24
Retirements	241	167	31	24	37	25	42
Net change	-80	505	16	154	347	-11	-18
Stock - New issues	771	973	180	229	298	149	187
Retirements	92	72	1	44	25	2	1
Net change	678	901	179	185	273	147	186
ELECTRIC, GAS AND WATER							
New issues	11,705	11,224	3,404	2,720	3,024	2,319	2,666
Retirements	1,013	1,243	384	249	233	305	254
Net change	10,691	9,981	3,020	2,471	2,791	2,014	2,412
Debt - New issues	7,484	6,238	2,211	1,651	1,286	1,081	1,356
Retirements	997	1,100	367	241	229	237	219
Net change	6,486	5,137	1,844	1,410	1,056	844	1,136
Stock - New issues	4,223	4,987	1,193	1,069	1,739	1,238	1,310
Retirements	16	143	17	8	4	68	34
Net change	4,206	4,844	1,176	1,061	1,735	1,170	1,276
TRANSPORTATION							
New issues	2,801	1,923	556	369	455	277	528
Retirements	1,102	957	265	204	228	194	194
Net change	1,700	966	291	166	227	83	334
Debt - New issues	1,980	1,626	380	333	402	259	488
Retirements	1,080	914	253	194	223	132	161
Net change	900	712	127	138	179	127	327
Stock - New issues	821	297	176	37	53	19	40
Retirements	21	43	11	9	5	62	33
Net change	800	254	164	27	47	-43	7

NET CHANGE IN CORPORATE SECURITIES OUTSTANDING
(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1972 Apr.- June	1972 July Sept.	1972 Oct.- Dec.	1973 Jan.- March	1973 Apr.- June
COMMUNICATION							
New issues.....	5,836	4,953	1,384	967	1,092	741	1,430
Retirements.....	312	350	114	89	59	37	26
Net change.....	5,524	4,603	1,270	878	1,033	704	1,404
Debt - New issues.....	4,226	3,662	920	659	990	550	868
Retirements.....	300	319	114	86	46	30	26
Net change.....	3,925	3,343	806	573	944	520	842
Stock - New issues.....	1,611	1,291	464	308	101	192	562
Retirements.....	11	29	*	2	12	7	1
Net change.....	1,600	1,260	464	305	89	185	562
SALES & CONSUMER FINANCE							
New issues.....	1,471	1,844	721	297	425	239	308
Retirements.....	389	123	59	12	31	33	39
Net change.....	1,082	1,721	662	285	395	206	269
Debt - New issues.....	1,451	1,831	719	293	423	237	307
Retirements.....	380	118	59	12	30	31	37
Net change.....	1,072	1,712	660	281	393	206	270
Stock - New issues.....	19	13	2	4	2	2	1
Retirements.....	10	4	*	*	*	2	2
Net change.....	10	9	2	4	2	*	-1
FINANCIAL AND REAL ESTATE							
New issues.....	7,203	8,722	1,649	1,951	2,545	2,431	1,844
Retirements.....	1,263	1,882	440	328	440	427	392
Net change.....	5,939	6,839	1,209	1,623	2,105	2,003	1,452
Debt - New issues.....	4,944	6,304	992	1,440	1,896	1,098	1,016
Retirements.....	1,012	1,552	419	265	369	338	238
Net change.....	3,932	4,752	573	1,174	1,527	759	779
Stock - New issues.....	2,258	2,418	657	512	649	1,334	828
Retirements.....	251	332	22	63	71	89	154
Net change.....	2,007	2,087	636	449	578	1,244	674
COMMERCIAL AND OTHER							
New issues.....	3,872	3,807	1,189	1,039	892	485	549
Retirements.....	1,347	1,333	267	245	458	418	272
Net change.....	2,524	2,474	923	793	434	67	277
Debt - New issues.....	2,101	1,900	566	468	559	133	338
Retirements.....	1,193	994	238	162	330	296	202
Net change.....	907	904	328	305	228	-163	137
Stock - New issues.....	1,771	1,908	624	571	333	351	211
Retirements.....	154	339	29	83	128	122	70
Net change.....	1,618	1,570	595	488	206	230	141

SEC 068 (3-73)

* Less than \$500,000.

PRIVATE NONINSURED PENSION FUNDS - 1972

Net receipts of private noninsured pension funds were \$11.6 billion in 1972 as compared to \$10.3 billion in 1971. Total receipts were a record \$20.1 billion, up from \$17.5 billion in the previous year; total disbursements were \$8.5 billion, up from \$7.3 billion in 1971. These figures cover pension plans of corporations, multi-employer and union groups, and non-profit organizations; profit-sharing funds with retirement provisions are also included. Other employee benefit plans--such as health and welfare funds--are not included in these data. (See Table 1.)

The major item in total receipts is employer contributions--amounting to \$12.7 billion in 1972. This was an increase of 12.5 percent over 1971. Employee contributions amounted to \$1.2 billion, or 8.6 percent of all contributions in 1972. Over the years, the proportion of employee contributions to total contributions has slowly declined. Investment income (interest, dividends and rent) was \$4.3 billion in this past year compared to \$4.1 billion in 1971. Net profit on sale of assets brought in \$1.7 billion during 1972. On the disbursement side, benefits paid to beneficiaries amounted to \$8.3 billion, an increase of 17.2 percent over 1971.

Investment Return of Pension Funds

There are a number of measurements for the rate of return on investment. These measurements depend on the definition of investment return and on the valuation of the asset base (book or market). A limited measure of return on investment is investment income (interest, dividends and rent); a more inclusive definition of return would include both investment income and net realized gain from the sale of assets. An even more inclusive measure of return has an additional component--unrealized appreciation of assets. As figures on pension fund assets show an increasing and heavy commitment by pension funds to common stock investment, many would insist that this most inclusive measure of return of investment is appropriate.

The rate of return based merely on interest, dividends and rent has been fairly steady over the years. The average rate of return using this measurement for the ten years prior to 1972 was 4.1 percent (book), compared to a 3.8 percent return in 1972. The rate of return which includes all realized investment gains has also been relatively consistent over the past decade (with the exception of 1970 when pension funds experienced a net loss on the sale of assets). The

ten year averages prior to 1972 were 4.8 percent (book) and 4.2 percent (market); comparable figures for 1972 were 5.4 percent and 4.3 percent. Figuring total investment gains, the rate of return experienced by pension funds has fluctuated considerably. As computed with market value as a base, the rate of return using total investment gains of pension funds was -4.6 percent in 1969, 6.0 percent in 1970, 15.4 percent in 1971, and 15.9 percent in 1972.

Assets of Private Noninsured Pension Funds

Tables 2 and 3 detail the asset composition of private noninsured pension funds since 1962 at both book and market value. Book value of all noninsured pension funds at the end of 1972 was \$117.5 billion; market value was \$154.3 billion. The difference may be attributable to unrealized appreciation, mostly in the common stock sector. Quarterly data on the asset distribution of pension funds (at book value) are published regularly in the Securities and Exchange Commission Statistical Bulletin. Second quarter 1973 data will appear in the September 19 Bulletin.

The increase in book value of total assets during 1972 was \$11.1 billion or 10.4 percent. The average annual rate of growth in the decade of the sixties was 12.1 percent; in 1970, 7.1 percent; in 1971, 9.7 percent. Market value of pension fund assets at yearend 1972 was 21.6 percent higher than at the end of 1971.

The table below illustrates the composition of private noninsured pension fund assets, both book and market, for the last three years.

Assets of Private Noninsured Pension Funds

(Percent)

	<u>Book Value</u>			<u>Market Value</u>		
	<u>1970</u>	<u>1971</u>	<u>1972</u>	<u>1970</u>	<u>1971</u>	<u>1972</u>
Cash and deposits	1.9	1.5	1.6	1.7	1.3	1.2
U.S. Government securities	3.1	2.6	3.1	2.9	2.2	2.4
Corporation and other bonds	30.6	27.3	24.0	23.8	20.6	17.0
Preferred stock	1.8	1.7	1.3	1.5	1.6	1.2
Common stock	53.3	59.0	63.5	62.6	68.2	73.5
own company	3.3	3.3	3.3	5.7	5.9	5.7
other company	50.0	55.7	60.2	56.9	62.3	67.8
Mortgages	4.4	3.4	2.5	3.4	2.5	1.7
Other assets	4.9	4.5	4.0	4.1	3.6	3.0
Total assets	100.0	100.0	100.0	100.0	100.0	100.0

Almost \$3.9 billion of pension fund assets (book value) was invested in the common stock of the employer company at yearend 1972. In terms of market value, \$8.8 billion was invested in "own company" common stock-- this was 7.8 percent of all common stock held by pension funds, or 5.7 percent of all assets of pension funds.

Assets of All Private and Public Pension Funds

Combined assets of all pension funds--both private and public-- totaled \$318.0 billion at the end of 1972. This figure includes assets of private insured pension plans, private noninsured pension plans, state and local pension funds, and funds administered by the U.S. Government. (See Table 4.)

The private sector held \$169.8 billion in assets at yearend 1972; of this amount, insured pension reserves were \$52.3 billion at statement value.^{1/} Separate accounts of insured companies held \$9.8 billion in assets on December 31, 1972. These separate accounts were responsible for \$2.7 billion of the \$5.9 billion total increase in insured pension reserves during 1972.

Public pension funds held \$148.2 billion in total assets as compared to \$135.9 billion at the end of 1971. Pension funds administered by the U. S. Government (which are invested almost exclusively in U.S. Government securities) had \$76.1 billion in assets at the end of 1972, for an annual increase of 7.0 percent. The other component of the public sector, state and local retirement funds, held \$72.1 billion at the end of the year, up 11.3 percent in 1972. (For details of the asset structure of state and local retirement funds, see our preliminary pension fund report in the April 4, 1973 issue of the Securities and Exchange Commission Statistical Bulletin, page 283.)

^{1/} Statement value, or Association value, is the value determined under the appropriate procedures of the National Association of Insurance Commissioners and recommended for use in the annual statement of insurers. Under these procedures, common stock is generally valued at market, and bonds, for the most part, are carried at amortized value.

TABLE 1
RECEIPTS AND DISBURSEMENTS OF PRIVATE NONINSURED PENSION FUNDS
(Millions of Dollars)

	<u>1962</u>	<u>1963</u>	<u>1964</u>	<u>1965</u>	<u>1966</u>	<u>1967</u>	<u>1968</u>	<u>1969</u>	<u>1970</u>	<u>1971</u>	<u>1972</u>
Total receipts.....	6,270	6,780	7,940	9,280	10,330	11,820	13,150	14,150	13,200	17,540	20,070
Employer contributions.....	3,960	4,170	4,950	5,600	6,360	7,040	7,700	8,490	9,720	11,320	12,740
Employee contributions.....	520	560	600	670	710	790	890	1,010	1,070	1,120	1,200
Investment income.....	1,380	1,790	2,050	2,390	2,670	2,940	3,190	3,550	3,870	4,100	4,300
Net profit on sale of assets.....	150	230	400	570	520	1,000	1,260	990	1,590	900	1,720
Other receipts.....	60	40	40	50	70	60	100	110	110	130	100
Total disbursements.....	1,880	2,090	2,420	2,880	3,480	3,990	4,620	5,430	6,180	7,260	8,490
Benefits paid out.....	1,020	2,020	2,350	2,800	3,380	3,880	4,500	5,290	6,030	7,080	8,300
Expenses and other disbursements.....	870	70	80	90	110	120	120	140	150	180	200
Net receipts.....	4,390	4,700	5,510	6,400	6,840	7,830	8,530	8,720	7,020	10,280	11,580

* Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multiemployer groups and nonprofit organizations.
Figures may not add to totals because of rounding.

TABLE 2
ASSETS OF PRIVATE NONINSURED PENSION FUNDS
Book Value, End of Year
(Millions of dollars)

	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972 ^r
Cash and deposits.....	710	770	890	940	900	1,320	1,590	1,620	1,800	1,640	1,860
U.S. Government securities.....	2,920	3,050	3,190	2,990	2,750	2,320	2,760	2,790	3,030	2,730	3,590
Corporate and other bonds.....	18,100	19,560	21,420	23,130	25,230	26,380	27,000	26,610	28,670	29,010	28,210
Preferred stock.....	750	710	650	750	790	980	1,330	1,760	1,740	1,770	1,480
Common stock.....	15,730	18,120	20,950	25,120	29,070	34,950	41,740	47,860	51,740	62,780	74,580
Own company.....	1,180	1,340	1,550	1,830	2,090	2,560	2,800	3,020	3,270	3,520	3,880
Other companies.....	14,540	16,780	19,400	23,290	26,980	32,380	38,940	44,840	48,480	59,260	70,710
Mortgages.....	1,980	2,220	2,780	3,380	3,910	4,080	4,070	4,220	4,300	3,680	3,000
Other assets.....	1,800	2,120	2,540	2,870	3,520	4,230	4,580	4,720	4,730	4,800	4,710
Total Assets.....	41,890	46,550	52,420	59,180	66,170	74,240	83,070	90,580	97,010	106,420	117,530
Change in total assets.....	4,380	4,660	5,850	6,770	6,980	8,070	8,830	7,510	6,430	9,410	11,110

^r Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multitemployer groups, and nonprofit organizations.
Figures may not add to totals because of rounding.

Table 3
ASSETS OF PRIVATE NONINSURED PENSION FUNDS
Market Value, End of Year
(Millions of Dollars)

	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972 ^a
Cash and deposits.....											
700	800	900	900	900	1,300	1,600	1,800	1,600	1,600	1,600	1,900
2,900	3,000	3,200	2,900	2,700	2,200	2,600	2,600	3,000	2,800	2,800	3,700
U.S. Government securities.....	17,500	18,800	20,700	21,900	22,300	22,400	21,300	24,900	26,100	26,100	26,200
Corporate and other bonds.....	700	700	800	800	1,000	1,400	1,600	1,600	2,000	1,900	1,900
Preferred stock.....	21,200	27,000	33,000	40,000	38,700	50,100	60,100	59,800	65,500	86,600	113,400
Common stock.....											
2,600	3,100	4,000	4,400	3,500	5,000	5,700	5,700	5,900	7,500	8,800	10,600
Other companies.....	18,600	23,800	29,000	35,600	35,200	45,100	54,400	54,200	59,500	79,100	
Mortgages.....	1,900	2,200	2,800	3,400	3,800	4,000	3,600	3,500	3,600	3,200	2,700
Other assets.....	1,800	2,200	2,600	3,000	3,500	4,200	4,300	4,300	4,500	4,500	4,500
Total Assets.....	46,700	54,600	63,900	72,900	72,800	85,500	96,000	94,600	104,700	126,900	154,300

^a Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multitemployer groups, and nonprofit organizations.
Figures may not add to totals because of rounding.

TABLE 4
ASSETS OF ALL PRIVATE AND PUBLIC PENSION FUNDS
Book Value, End of Year
(Billions of Dollars)

	1962	1964	1965	1966	1967	1968	1969	1970	1971	1972
Private	63.5	69.9	77.7	86.5	95.6	106.3	118.0	128.5	138.2	152.8^r
Insured pension reserves ^{1/} (Separate accounts included above) ^{2/}	21.6	23.3	25.2	27.4 ^r	29.4	32.0	35.0	37.9	41.2	46.4 ^r
Noninsured pension funds ^{3/}	* 41.9	* 46.6	* 52.4	* 59.2	* 66.2	* 74.2	* 83.1	* 90.6	* 97.0	* 106.4
Public	61.4	65.0	69.5	72.8	80.2	90.1	98.6	110.5	123.5	135.9
State and local.....	24.5	26.9	29.7	33.1	36.9	41.5	46.2	51.2	58.0	72.1
Federal										
Federal Old-age and Survivors Insurance.....	18.3	18.5	19.1	18.2	20.6	24.2	25.7	30.1	32.5	35.3
Federal Disability Insurance.....	2.4	2.2	2.0	1.6	1.7	2.0	3.0	4.1	5.6	7.5
Civil Service Retirement and Disability Program ^{4/}	12.5	13.5	14.7	15.9	17.0	18.1	19.4	20.8	23.1	26.4
Railroad Retirement.....	3.7	3.8	3.8	3.9	4.1	4.2	4.2	4.3	4.4	4.1
Total Private and Public	126.9	134.8	147.1	159.3	175.9	196.4	216.7	239.0	261.7	288.7^r
										318.0^r

^r Revised.^{*} Less than \$50 million.^{1/} Statement value.^{2/} Separate accounts of life insurance companies, established for specific pension plans, allow greater investment latitude than is permissible under state laws for general life insurance assets.^{3/} Includes deferred profit sharing funds and pension funds of corporations, unions, multitemployer groups, and nonprofit organizations.^{4/} Includes Foreign Service Retirement and Disability Trust Fund.

NOTE: Figures may not add to totals because of rounding.

INTENTIONALLY LEFT BLANK

INTENTIONALLY LEFT BLANK

INTENTIONALLY LEFT BLANK

INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

SERIES	SERIES TITLE	COVERAGE AND LOCATION						
W-1	ROUND-LOT SHARE VOLUME ON NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
W-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	CURRENT ISSUE COVERS WEEK ENDED 2 FRIDAYS EARLIER						
W-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	CURRENT ISSUE COVERS 4 WEEKS ENDED 2 FRIDAYS EARLIER						
W-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
W-5	FORM 144 FILINGS	CURRENT ISSUE COVERS WEEK ENDED TWO WEEKS AGO						
W-6	FORM 8-K FILINGS	CURRENT ISSUE COVERS WEEK ENDED TWO WEEKS AGO						
M-1	MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER	NOV - 72 3	DEC - 72 58	JAN - 73 174	FEB - 73 349	MAR - 73 447	APR - 73 593
M-2	ROUND-LOT AND ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	12/22/72 4	2/2/73 132	3/2/73 278	3/30/73 416	5/4/73 546	6/8/73 672
M-3	ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	12/29/73 8	2/9/73 136	3/9/73 282	4/6/73 415	5/11/73 545	6/15/73 671
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	13/22/72 10	2/2/73 134	3/2/73 280	3/30/73 418	5/4/73 568	6/8/73 674
M-5	SELECTED STOCK MARKET INDICATORS	PERIOD ENDING PAGE NUMBER	DEC - 72 -N.A.-	JAN - 73 59	FEB - 73 175	MAR - 73 350	APR - 73 472	MAY - 73 567
M-6	NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	PERIOD ENDING PAGE NUMBER	NOV - 72 20	DEC - 72 60	JAN - 73 176	FEB - 73 351	MAR - 73 448	APR - 73 566
M-7	GROSS PROCEEDS FROM NEW SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 13	DEC - 72 61	JAN - 73 241	FEB - 73 377	MAR - 73 493	APR - 73 617
M-8	VALUE OF NEW CORPORATE SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 14	DEC - 72 62	JAN - 73 242	FEB - 73 378	MAR - 73 494	APR - 73 618
M-9	VALUE OF CONVERTIBLE BONDS OFFERINGS	PERIOD ENDING PAGE NUMBER	NOV - 72 18	DEC - 72 63	JAN - 73 243	FEB - 73 379	MAR - 73 495	APR - 73 619
M-10	VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK	PERIOD ENDING PAGE NUMBER						
Q-1	SECONDARY AND EXCHANGE DISTRIBUTIONS ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER		QUARTER 4-1972 64			QUARTER 1-1973 496	
Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PERIOD ENDING PAGE NUMBER		QUARTER 4-1972 244			QUARTER 1-1973 620	
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING PAGE NUMBER		QUARTER 4-1972 177			QUARTER 1-1973 571	
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES, NON-FINANCIAL U. S. CORPORATIONS	PERIOD ENDING PAGE NUMBER		QUARTER 3-1972 34			QUARTER 4-1972 326	QUARTER 1-1973 623
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING PAGE NUMBER		QUARTER 4-1972 288			QUARTER 1-1973 574	
Q-6	STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	PERIOD ENDING PAGE NUMBER		QUARTER 4-1972 247			QUARTER 1-1973 576	
A-1	WEEKLY TOTALS FROM SERIES W-1, W-2, AND W-4	PERIOD COVERED PAGE NUMBERS		CALENDAR YEAR 1972 96, 97, AND 98				
A-2	MONTHLY TOTALS FROM SERIES W-1, W-2, AND W-4	PERIOD COVERED PAGE NUMBERS		CALENDAR YEAR 1972 65, 66, AND 67				
A-3	ANNUAL SUMMARY OF SERIES M-1	PERIOD COVERED		CALENDAR YEAR 1972, PAGE 68				
A-4	AVERAGE PRICES OF SHARES TRADED ON UNITED STATES STOCK EXCHANGES	PERIOD COVERED PAGE NUMBERS		CALENDAR YEARS 1953 THROUGH 1972 (MONTHLY) 99, 100, 101, AND 102				
A-5	GROWTH RATE TRIANGLE OF NYSE SHARE VOLUME	PERIOD COVERED PAGE NUMBER		CALENDAR YEARS 1954 THROUGH 1972 69				
A-6	GROWTH RATE TRIANGLE OF AMEX SHARE VOLUME	PERIOD COVERED PAGE NUMBER		CALENDAR YEARS 1954 THROUGH 1972 70				
A-7	CHANGES IN SELECTED STOCK MARKET INDICATORS	PERIOD COVERED PAGE NUMBERS		JANUARY 1960 THROUGH JUNE 29, 1973 678 THROUGH 690				
A-8	ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS	PERIOD COVERED		CALENDAR YEAR 1972, Page 283 (Preliminary) Page 918 (Final)				
R-1	NEW ISSUES OF CLOSED-END INVESTMENT COMPANIES	PAGE NUMBERS		137, 138, AND 139				
R-2	MARKET VALUE OF OUTSTANDING CORPORATE STOCKS IN THE U. S.	PERIOD COVERED PAGE NUMBERS		CALENDAR YEAR 1972 380 AND 381 (REVISED PAGE 497)				
R-3	STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OFFERS	PERIOD COVERED PAGE NUMBERS		YEAR ENDED DECEMBER 31, 1972 519 AND 520				

INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

SERIES	SERIES TITLE	COVERAGE AND LOCATION						
W-1	ROUND-LOT SHARE VOLUME ON NYSE	PERIOD ENDING	MAY - 73	JUN - 73	JUL - 73	AUG - 73	SEP - 73	OCT - 73
W-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	PAGE NUMBER	<u>670</u>	<u>801</u>	<u>913</u>			
W-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	PERIOD ENDING	7/20/73					
W-4	ROUND LOT AND ODD-LOT SHARE VOLUME ON THE AMEX	PAGE NUMBER	<u>828</u>					
W-5	FORM 144 FILINGS	PERIOD ENDING	7/27/73					
W-6	FORM 8-K FILINGS	PAGE NUMBER	<u>832</u>					
W-7	SCHEDULE 13-D AND 14-D REPORTS	PERIOD ENDING	7/20/73					
M-1	MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	PAGE NUMBER	<u>830</u>					
M-2	ROUND-LOT AND ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING	7/20/73					
M-3	ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PAGE NUMBER	<u>830</u>					
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX - 5 WEEK RECAPITULATION	PERIOD ENDING	7/20/73					
M-5	SELECTED STOCK MARKET INDICATORS	PAGE NUMBER	<u>830</u>					
M-6	NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	PERIOD ENDING	JUN - 73	JUL - 73	AUG - 73	SEP - 73	OCT - 73	NOV - 73
M-7	GROSS PROCEEDS FROM NEW SECURITIES OFFERINGS	PAGE NUMBER	<u>676</u>	<u>802</u>				
M-8	VALUE OF NEW CORPORATE SECURITIES OFFERINGS	PERIOD ENDING	<u>677</u>	<u>803</u>	<u>914</u>			
M-9	VALUE OF CONVERTIBLE BONDS OFFERINGS	PAGE NUMBER	<u>728</u>	<u>836</u>				
M-10	VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK	PERIOD ENDING	<u>729</u>	<u>837</u>				
Q-1	SECONDARY AND EXCHANGE DISTRIBUTIONS OF UNITED STATES STOCK EXCHANGES	PERIOD ENDING	QUARTER 2-1973					
Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PAGE NUMBER	<u>838</u>					
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING	QUARTER 2-1973					
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES OF NON-FINANCIAL U.S. CORPORATIONS	PAGE NUMBER	<u>915</u>					
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING	QUARTER 2-1973					
Q-6	STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	PAGE NUMBER	QUARTER 2-1973					
S-1	SEMI-ANNUAL SUMMARY OF AVERAGE PRICES OF SHARES TRADED ON UNITED STATES STOCK EXCHANGES	PERIOD COVERED	JANUARY 1953 THROUGH JUNE 1973					
A-9	TOTAL ASSETS AND COMMON STOCK HOLDINGS OF INSTITUTIONAL INVESTORS	PAGE NUMBERS	<u>804</u> THROUGH <u>807</u>					
		PERIOD COVERED	1955 - 1972					
		PAGE NUMBERS	<u>865</u> , <u>866</u> , AND <u>867</u>					

UNITED STATES
GOVERNMENT PRINTING OFFICE
DIVISION OF PUBLIC DOCUMENTS
WASHINGTON, D.C. 20402

OFFICIAL BUSINESS

FIRST CLASS MAIL



POSTAGE AND FEES PAID
U.S. GOVERNMENT PRINTING OFFICE
375

FOR SALE BY THE SUPERINTENDENT OF DOCUMENTS U.S. GOVERNMENT
PRINTING OFFICE WASHINGTON, D.C. 20402 - PRICE .40 CENTS A COPY
SUBSCRIPTION PRICE: \$17.00 A YEAR; \$4.25 ADDITIONAL FOR FOREIGN MAILING

THE PRINTING OF THIS PUBLICATION HAS BEEN APPROVED BY THE
DIRECTOR OF THE BUREAU OF THE BUDGET (JAN. 22, 1953)



OFFICE